INTRODUCTION

Aquaculture represents an expanding use of the world’s marine ecosystems. In Norway, which is one of the largest global seafood producers, the combined aquaculture production of Atlantic salmon Salmo salar, rainbow trout Oncorhynchus mykiss and Atlantic cod Gadus morhua is worth more than the total catch from wild fisheries, and represents the country’s second most economically significant export product.

A major challenge with aquaculture is containment, and in all regions where fish are reared in marine cages escapees are reported. For example, 154 466 Atlantic salmon escapees were reported to the authorities in Scotland in 2007 (Anonous 2007), and in Norway, 921 000 Atlantic salmon escapees were reported in 2006 (www.fiskeridir.no/statistikk/akvakultur/roemningsstatistikk). Genetic changes in wild Atlantic salmon populations, as a result of interbreeding between wild and farmed conspecifics, have been documented (Clifford et al. 1998a,b, Crozier 2000, Skaala et al. 2006), and it is likely that genetic impacts on wild populations are density dependent (Hindar et al. 2006). Farmed salmon strains display lower levels of genetic variation when compared with wild salmon populations (Norris et al. 1999, Skaala et al. 2004), and display genetic differences for traits such as growth (Thodesen et al. 1999, Glover et al. 2009a), physiology (Johnsson et al. 2001, Fleming et al. 2002), behaviour (Fleming & Einum 1997) and gene transcription (Roberge et al. 2006, 2008). Furthermore, offspring of farmed fish, and hybrids, display lower fitness in natural habitats when compared to their wild counterparts (McGinnity et al. 1997, 2003, Fleming et al. 2000). Consequently, it is generally accepted that farm escapees represent a significant threat to the genetic integrity, and ultimately, the long-term evolutionary capacity of recipient wild populations.

The numbers of farm escapees needs to be significantly reduced if a sustainable aquaculture industry and healthy wild stocks are to co-exist in the future.

KEY WORDS: Farm escapee · Wildlife forensics · Law enforcement · Genetic assignment
Fish may escape from marine cages for a variety of reasons, and whilst the number of escapees can be reduced by means of new technological solutions and improved farm management, other causes, such as storms or boating accidents, may be more difficult to guard against. In Norway, and many other countries where marine aquaculture exists, fish-farmers have a legal obligation to report escapees to the authorities. Nevertheless, there is a significant component of under-reporting (Baarøy et al. 2004), and there is growing opinion that farmed fish need to be marked by some method in order to identify the source of escapees, and potentially, to allow fish farmers infringing on regulations to be prosecuted.

Application of DNA technologies for identification of fish in food products (Rasmussen & Morrissey 2008), fisheries enforcement (Ogden 2008) and wildlife forensics in general (Baker 2008) is expanding. A variety of statistical methods can be implemented to support these technologies (Hansen et al. 2001, Manel et al. 2005). Assignment tests, including semi- and fully Bayesian variations involve matching the multilocus genetic profile of an unknown individual or group of individuals to potential source groups or populations. The probability of belonging, or not belonging, can be computed. Individual genetic assignment has been implemented in a range of management applications, for example, illegal animal translocations (Frantz et al. 2006), illegal trade (Wasser et al. 2008) and fraud (Primmer et al. 2000).

In a genetic study of domesticated and wild Atlantic salmon, based upon data from 12 microsatellite loci, large differences were observed between some of the major farmed strains used in Norwegian aquaculture (Skaala et al. 2004). Additionally, these authors demonstrated the ability to accurately identify farmed salmon to the strain of origin. Building on these observations, the farm and exact cage of origin for a group of Atlantic salmon escapees was identified successfully for the first time (Glover et al. 2008). The method was subsequently termed the ‘DNA stand-by method’ by management authorities in Norway. Further studies have demonstrated proof-of-concept for using the DNA stand-by method to identify the farm of origin for escaped rainbow trout (Glover 2008) and Atlantic cod (Glover et al. in press). In addition, the accuracy of the method has been improved (Glover et al. 2009b, in press).

The DNA stand-by method has been implemented in a number of ‘real-life’ cases to identify the source of escaped fish back to the farm of origin, as a forensic service for the Norwegian Directorate of Fisheries (NDF), who are responsible for production and implementation of aquaculture regulations. This paper reviews the experience gained through implementation of the method during the period 2006–2009. Challenges, practical considerations and other components central in implementing the method are identified and discussed in order to outline the potential for utilising this, or similar techniques, to identify fish farm escapees in other species and regions of the world.

REVIEW OF THE DNA STAND-BY METHOD

Background to the DNA stand-by method

In order to assist with the regulation of aquaculture, the NDF required a tool to identify the farm(s) of origin for escaped Atlantic salmon. This tool would be implemented in cases where a distinct escapement of farmed fish was evident (i.e. a group of escapees are suddenly observed/reported), but no farms within the region reported losses of fish. It is important to note that fish escaping from a farm does not in itself represent a breach of Norwegian aquaculture regulations; however, escapees may be indicative of farm mismanagement. Furthermore, farmers are legally obliged to report all situations in which fish have escaped, even when escapement is not certain. Consequently, the mandate was to develop a reliable method for identifying escapees. Whilst diagnostic identification of source farm(s) was desired, the NDF emphasised that any method that could lead to the exclusion of some farms as potential sources of the escapees would be useful. This would permit fishery officers to inspect the remaining farms that were not excluded as potential sources to look for evidence of escapement and/or mismanagement. Prior to development of the DNA stand-by method, a committee established by the NDF reviewed a range of approaches to permit identification of escapees back to the farm of origin (Baarøy et al. 2004).

A selection of individual and group tagging systems have been established or adapted for identification of fish in both culture and in the wild. These include external tags (McAllister et al. 1992), in addition to advanced electronic, transponder and radio-based systems (Cookingham & Ruetz 2008, Campana et al. 2009, Shroyer & Logsdon 2009). In terrestrial agriculture, physical tags, usually placed in the animal’s ear soon after birth, are used widely for documenting both ownership and animal pedigree. It is therefore pertinent to ask whether tagging could provide a universal approach to monitor and identify farmed fish. Physical tagging could be used to identify fish both on the inside of cages to enable farmers to monitor fish movements between production units, and the outside of cages to enable managers to identify escapees. Passive integrated transponder tags are commonly used in aquaculture for broodfish identification (Jackson et al. 2003) and for identification of large numbers of off-
Glover: Forensic identification of fish farm escapees

In addition, coded wire tags have been used extensively for identification of fish groups in the wild (Brennan et al. 2007, Bumgarner et al. 2009). These tagging systems provide identification that could in theory be adapted to mark all fish reared in culture.

Physical tagging offers some advantages over a DNA or chemical-based approach to identification (Table 1). However the Norwegian committee reviewing potential identification methods (Baarøy et al. 2004) concluded that tagging all farmed fish would require extensive development of logistic systems for both marking and tracking the fish 'in and out' for all stages of production. Furthermore, tagging would have animal welfare implications and be prohibitively expensive. Consequently, while individual tagging of all farmed fish potentially represents the most diagnostic identification method, it is unlikely to be imposed on the Norwegian industry within the foreseeable future.

As an alternative method, it was concluded that one of the most promising suggestions was the stand-by method which takes advantage of naturally occurring markers (DNA or chemical) within the fish.

### Implementation of the DNA stand-by method

Meeting the needs of the NDF, researchers at the Institute of Marine Research (IMR) developed what is referred to as the DNA stand-by method. This was first implemented in Norway in the autumn of 2006 (Glover et al. 2008). In response to multiple observations of farm escapees by the general public, the NDF secured genetic samples from 32 escaped farmed salmon that had been captured in the Romsdal fjord. Thereafter, the NDF secured samples from all farms in the region that contained fish overlapping in size with the escapees. For farms that reared fish that originated from more than one smolt producer, one sample, consisting of 50 fin clips, was taken from a single cage representing each of these sources. For one farm, this included sampling 5 out of 10 cages in total (250 fish split into 5 independent potential sources for the escapees). Following genotyping with a panel of polymorphic microsatellite markers, the multilocus genetic profile for each of the escapees was then matched (to find the statistical most likely source), and excluded (to identify sources from where it could not have originated at a pre-determined level of significance) to identify the farm of escapement. A single cage on one farm was identified as the most likely source of origin for the majority of the escapees, and the results were used to initiate an investigation by the Norwegian Police for Economic and Environmental Crime (Økokrim). The company was subsequently fined.

In total, the DNA stand-by method has been implemented in 7 cases where the NDF required information on potential source(s) of recaptured farm-escaped fish.

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**Table 1.** Key advantages (Pros) and disadvantages (Cons) of physically tagging farmed fish versus implementation of a DNA-based stand-by identification method

<table>
<thead>
<tr>
<th>Pros</th>
<th>Cons</th>
</tr>
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<tbody>
<tr>
<td><strong>Physical marking (all fish)</strong></td>
<td></td>
</tr>
<tr>
<td>Accuracy identification</td>
<td>Significant investment in logistics and equipment</td>
</tr>
<tr>
<td>Identification of drip-leakage and large single escapements</td>
<td>Requires extensive documentation and management from authorities</td>
</tr>
<tr>
<td>Identification years after escapement (even when fish farm has slaughtered out production)</td>
<td>Tag losses</td>
</tr>
<tr>
<td>Identification of farmed fish in the wild (e.g. permitting removal from natural populations)</td>
<td>Large operational costs</td>
</tr>
<tr>
<td></td>
<td>Most fish do not escape (wasted efforts?)</td>
</tr>
<tr>
<td></td>
<td>Fish welfare (tag wounds, handling)</td>
</tr>
<tr>
<td></td>
<td>Depending upon system, tag removal prior to consumption</td>
</tr>
<tr>
<td></td>
<td>When to tag contra when did they escape issues, e.g. fish marked on Farm A, later sold to Farm B. Who lost them? a</td>
</tr>
<tr>
<td><strong>DNA stand-by method</strong></td>
<td>Not suitable for identification of drip-leakage events</td>
</tr>
<tr>
<td>Very low cost</td>
<td>Needs to be rapidly implemented following escapement</td>
</tr>
<tr>
<td>Costs born by ‘polluter’ rather than entire industry</td>
<td>Requires that authorities are on stand-by and have contacts ‘in the field’</td>
</tr>
<tr>
<td>Does not require handling of fish nor adaptation of physical production procedures</td>
<td>Not all cases will lead to diagnostic identification of single farm</td>
</tr>
<tr>
<td>Only requires efforts in response to a real escapement as potential to identify genetic introgression in wild populations</td>
<td></td>
</tr>
</tbody>
</table>

*a* An automatic tagging system registering fish 'in and out' of each production unit would circumvent this challenge.
Table 2. Summary of 6 cases where the DNA stand-by method has been implemented to identify fish farm escapees in Norway. Esc: number of farm escapees analysed; \( F_{ST} \): range in pair-wise \( F_{ST} \) among farm samples (global \( F_{ST} \) in brackets); Self assign: mean correct self-assignment percentage among farm samples (Geneclass); Escapee assign: percentage of the escapees directly assigned to the 3 most likely farm samples. N/A: not applicable

<table>
<thead>
<tr>
<th>Case</th>
<th>Species</th>
<th>Esc</th>
<th>Farms/cages sampled</th>
<th>( F_{ST} )</th>
<th>Self assign (%)</th>
<th>Escape assign (%)</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Atlantic salmon</td>
<td>29</td>
<td>7/16</td>
<td>0.001–0.154 (0.089)</td>
<td>62.5</td>
<td>72, 7, 7</td>
<td>Single cage and farm identified. Company subsequently admitted losing fish. Fined by authorities.</td>
</tr>
<tr>
<td>2</td>
<td>Atlantic salmon</td>
<td>44</td>
<td>7/8</td>
<td>0.004–0.101 (0.052)</td>
<td>67.2</td>
<td>25, 22, 20</td>
<td>No clear result. Multiple cages, farms and companies implicated. Case complicated by presence of fish from multiple sources. No legal case initiated.</td>
</tr>
<tr>
<td>3</td>
<td>Atlantic salmon</td>
<td>48</td>
<td>7/9</td>
<td>0.002–0.117 (0.076)</td>
<td>64.8</td>
<td>98, 2, 0</td>
<td>Single cage and farm identified. Rapid sampling of escapees and differentiated baseline samples gave distinct result. Company fined and forced to compensate for analytical costs.</td>
</tr>
<tr>
<td>4</td>
<td>Rainbow trout</td>
<td>35</td>
<td>6/7</td>
<td>0–0.127 (0.03)(^a)</td>
<td>82.5(^a)</td>
<td>91, 6, 3 (^a)</td>
<td>Farm(s) operated by single company clearly implicated. Only producer in region. No legal case initiated due to circumstances unrelated to the method.</td>
</tr>
<tr>
<td>5</td>
<td>Atlantic salmon</td>
<td>47</td>
<td>5/7</td>
<td>0.003–0.140 (0.075)</td>
<td>63.5</td>
<td>89, 6, 2</td>
<td>Single cage and farm identified. Legal case in development.</td>
</tr>
<tr>
<td>6</td>
<td>Atlantic salmon</td>
<td>40</td>
<td>1/1</td>
<td>N/A</td>
<td>N/A</td>
<td>0</td>
<td>Escapees excluded from a farm reported to have lost fish. This led to sampling all farms in region to identify the ‘un-reported’ source. Case under analysis.</td>
</tr>
</tbody>
</table>

\(^a\)\(F_{ST}\) contained samples from all cages on 3 farms (repeat samples) which deflates the global estimate, while self-assignment calculations and assignment of escapees were performed on reduced sets of samples due to the specifics of case; values therefore not directly comparable to rest of table

(Table 2). With one exception (Case 2), all cases lead to a clear conclusion (including Case 7 for Atlantic cod 2009, not presented in Table 2). Thus far, none of the cases have been challenged in a court case, and when given, fines were accepted by the companies.

Case 6 was different from the other cases and was initiated when the NDF received an official report of escapement from a farm in a fjord (reported by the farm’s management in accordance with regulations). A few weeks later, the NDF received public reports of farm escapees, of similar size to the reported escapement source, in the outer regions of the same fjord. While it was reasoned that these fish could have originated from the reported escapement, the NDF lacked sightings of these fish between the reported escapement source and the present location of the escapees. In order to clarify this situation, the NDF took samples of both escapees and of fish from the farm that had reported losses of fish. All 40 escapees were excluded from the fish farm sample, demonstrating clearly that this was not the source of these fish. A full case was initiated immediately to find the actual source of the escapees. While the DNA stand-by method was not primarily intended to be applied in this manner, it illustrates the versatility of the method which can provide managers with an identification and monitoring tool.

**Conditions for application of the DNA stand-by method**

The DNA stand-by method must fulfill a number of conditions in order to be implemented in a robust manner and, not least, to offer the best possible chance of identifying escapees (Fig. 1). As suggested previously (Glover et al. 2008, 2009b), rapid recapture of a homogenous group of escapees in restricted time and space is the most important step in identification of farm escapees using this method. At any one time, there are hundreds of active salmon farms along the coast of Norway and thousands of farm escapees potentially originating from these sources. Farm-escaped salmon can travel very long distances (Hansen et al. 1997, Milner & Evans 2003), even over relatively short time-periods (O. T. Skilbrei unpubl.). Consequently, in order to avoid having to compare the genetic profile of recaptured escapees with every single farm in Norway, which is clearly not feasible, one needs to capture the escapees close to the source of escapement. By doing this, it is possible to restrict sampling to farms within a defined region, while retaining confidence that all potential sources have been sampled.

Sampling of farm escapees is ideally conducted immediately, and certainly within days of their detection. This is important to ensure sampling before the
fish disperse from the probable region of escapement and mix with fish from other potential escapement sources. The network of anglers and commercial fishermen in Norway provide a significant informal monitoring of the coastline, and nationally, the NDF receives reports of fish farm escapees on a daily basis. This represents a significant amount of information, which in itself provides considerable challenges (e.g. sorting out reliable information and knowing when to act). Nevertheless, a comparison of new reports of escapees with logged reports from earlier tips from the public provides the NDF with the ability to detect a sudden appearance of fish from a new escapement event. Indeed, in a study of catch per unit effort by hobby net-fishermen, a local escapement of farmed Atlantic salmon was detected readily by a rapid spike in the daily catch in the region (Skilbrei & Wennevik 2006).

Fig. 1. Logistics between the Norwegian Directorate of Fisheries (NDF) receiving reports of farm escapees in the wild, and implementation of the DNA stand-by method. IMR: Institute of Marine Research
Supplementary data including escapee size, state of maturity, number of sea lice *Lepeophtheirus salmonis* L., in addition to location of capture, are important indicators of the likelihood of a group of recaptured escapees having originated from a single source. For example, a group of 20 fish ranging from 0.5 to 6 kg captured over a period of 4 wk in 3 locations separated by 100 km is unlikely to have originated from a single cage on a farm. Statistical methods implemented in genetic clustering programs such as Structure 2.0 (Falush et al. 2003) can be, and are, actively used to delineate the number of distinct genetic groups a set of fish farm escapees may be divided into (Glover et al. 2010a in press). Nevertheless, review of available biological (e.g. fish size) and capture data (e.g. date and location) is important in order to avoid wasting resources on diffuse, highly complicated complicated or otherwise unsuitable escapement events for tracing by the method.

In addition to rapid sampling of escapees, location, and to some degree time of year, plays a major role in the probability of being able to make a clear identification of the source of fish farm escapees. Location (region) is important due to the number of farms (and therefore number of potential sources of escapement) and the likelihood of encountering background noise through sampling fish farm escapees from multiple sources. For example, the Hardanger fjord in western Norway represents Norway’s most intensive fish farming region, producing approximately 60,000 metric t of Atlantic salmon and rainbow trout in 2006. Although it is possible to implement the DNA stand-by method in such a dense farming region (Glover et al. 2009b), this is a large fjord (~170 km long), offering considerable potential for the accumulation of escapees from a number of farms. A significant number of fish farm escapees return to (or never leave) coastal areas in the summer and autumn of each year on their way to entering rivers as part of a spawning migration (Lund et al. 1991, Webb et al. 1991, Crozier 1993, Walker et al. 2006). Clearly, these individuals may have originated from multiple sources (potentially farms located along the entire coastline). In regions with a high density of farms, especially in the summer and autumn months when fish are migrating towards freshwater, successful identification may require a very distinct and sudden increase in the number of escapees in order to gain a good signal (fish originating from the new unreported escapement event) to noise (fish resulting from background escapement) ratio.

When all logistical and sampling requirements are fulfilled (above and Fig. 1), genetic differentiation among samples collected from the potential sources of escapement (cages and farms) represents the primary factor that enables a diagnostic identification of the escapees. Clearly, if farms rear fish of similar genetic background, perhaps sourced from the same hatchery, then the resultant genetic differences will be less than when this is not the case.

**Logistics of Atlantic salmon production in Norway**

The logistics of Atlantic salmon production, and flow of genetic material from breeding programs where domestication selection is practiced to commercial producers, is both complicated and dynamic (Fig. 2). Norwegian Atlantic salmon aquaculture is based entirely on domesticated strains, and it is estimated that over 95% of commercial production is based on fish supplied by 4 breeding companies (Aqua Gen AS, SalmoBreed AS, Marine Harvest [Mowi], and Rauma Gruppen AS). All of the strains maintained by these companies are founded by wild Norwegian salmon, and the origin of genetic material in some of these breeding programs has been reviewed (Gjedrem et al. 1991).

The nature and structure of Norwegian Atlantic salmon breeding programs varies between companies. In addition, this has varied within companies over time. For example, whilst some companies have operated with 4 more or less genetically isolated strains, each with a 4 yr generation time, others have utilised mixed populations maintained by crossing male and female fish of different ages (to avoid crossing siblings when individual pedigree information is lacking). For companies maintaining separate strains, the genetic distinctiveness of these strains has been confirmed via microsatellites (Skaala et al. 2004) and allozyme markers (Skaala et al. 2005). These authors concluded that a combination of founder effects and genetic drift represent the major forces driving development of large and distinct molecular genetic differences among strains.

In light of the fact that Norwegian Atlantic salmon aquaculture is based on fish from a limited number of strains, it could be reasoned (and was by some) that use of DNA methods to trace escaped salmon back to the farm of origin would not be successful in most cases. While it is true that some farms within a given region do indeed rear fish originating from the same strain(s), sometimes resulting in overlapping allele frequencies among cages of fish on neighboring farms (Glover et al. 2008, unpubl.), the distribution of genetic material from the breeding programs to marine cage producers is complicated (Fig. 2). It was first hypothesised (Glover et al. 2008, 2009b) that distribution of genetic material from the breeding programs to marine cages, via egg, fry and smolt producers, may permit the development of a greater number of genetically distinct groups of salmon than strains through the process of genetic drift. This was confirmed by a study of
genetic variation in cages of salmon originating from a single breeding company (K. A. Glover unpubl.). The authors observed genetically identifiable groups within a single year class, and concluded this was possible as batches of eggs (often resulting from limited numbers of parents), fry and smolts tend not to be mixed in the production chain from breeder to sea farm. This is in part due to considerations such as the transfer of disease, traceability of own production, and the fact that the next link in the production chain may also request knowledge of origin. Consequently, the number of genetically identifiable groups reared in fish cages is greater than the number of strains or breeding programs, permitting increased opportunity for identification. Genetic drift within domestic populations is well documented (Cross & King 1983, Verspoor 1988, Perez et al. 2001) and clearly plays an important role in the success of the stand-by method.

**Implementation of a DNA register**

The use of DNA registers to monitor harvest and trade of endangered and protected wildlife and their derived products is gaining increasing international attention (Baker 2008). For example, fully or partially complete genetic databases (of allele frequencies or individual genetic profiles) have been used to monitor trade in whale meat (Palsboll et al. 2006), illegal trade in African elephant ivory (Wasser et al. 2008), in addition to mixed stock analysis for fishery management in the Pacific (Withler et al. 2004).

A range of statistical programs exist (Duchesne et al. 2002, Taggart 2007) to assign parentage to offspring in mixed family environments where known crosses have been established (Glover et al. 2004) and in mixed family environments where parental genotypes are known but individual crosses have not been documented (Herlin et al. 2007, 2008). With even modest numbers of loci, it is possible to identify individuals back to large numbers of families. For example, simulations conducted on a mass spawning tank for Atlantic cod containing a potential of 2717 families revealed unambiguous assignment of 88.8 and 92.1% of the offspring using 5 and 8 polymorphic microsatellite markers, respectively (Herlin et al. 2008).

Whereas the DNA stand-by method implements a ‘bottom-up’ approach to identification, i.e. starting with DNA of escapees and sampling the last plausible link within the supply chain to identify/exclude the potential escapement source(s), a ‘top-down’ approach to identification, using a DNA register, has also been considered. A DNA register consisting of allele frequencies for a panel of molecular genetic markers for the major strains would incur problems due to genetic drift from the breeding programs to commercial production (Fig. 2, discussion above). However, a DNA register could consist of a combination of breeding information and individual DNA profiles in order to permit the identification of escapees through match/exclusion to family (as described above). The DNA register would need to be combined with rigorous documentation of fish movements across the supply chain, perhaps combined with genotyping tests of proficiency. A register could provide some advantages over the DNA stand-by method that a physical tag could also provide (Table 1), notably, diagnostic identification of escapees, even in the case of drip-leakage, and an indefinite time delay following escapement. While a DNA register approach is technically viable, and feasible within the logistical framework of individual producers who require a verification tool for monitoring and tracing their own production, for management authorities requiring an identification tool for farm escapees for the entire industry, this approach presents major, and arguably insurmountable, logistical challenges.

A major problem with the DNA register approach is that alone it cannot assign ownership to individual fish. For example, if a 0.5 kg escapee is found in the sea,
and identified to family, it is not possible to demonstrate whether it was the smolt producer or the sea farm that was responsible for the loss. In order to circumvent this challenge, the sampling logistics described for the stand-by method above would need to be applied, and some of the potential advantages of the register are therefore eliminated. In addition, the total annual production of fertilised Atlantic salmon eggs in Norwegian aquaculture is estimated to be in excess of 500 million (T. A. Helle, NDF, pers. comm.). Given an average of 10,000 eggs yield per female, this equates to >50,000 females spawned each year. Maintaining a database containing this number of fish and documenting fish-family movements between the various producers would be technically challenging. As in the case of physical tagging, it would also incur high costs for fish that do not escape.

Other species and supplementary methods

The structure of Norwegian breeding programs for rainbow trout and Atlantic cod are not as well documented as for Atlantic salmon; however, these species offer contrasting aquaculture situations. First, rainbow trout has a very long history of domestication (MacCrimmon 1971, Gall & Crandell 1992), and farmed rainbow trout in Europe originate from a low number of sources. This is likely to have reduced levels of genetic variation before the Norwegian breeding programs were established, and due to the low number of sources from which rainbow trout originate, may have reduced potential for genetic divergence between the commercial strains. Second, Atlantic cod is not considered to constitute a domesticated species, and most Norwegian breeding programs are currently only in their second generation. Furthermore, use of wild adults as a broodfish supplement is still practiced for some strains. Nevertheless, distinct genetic differences have been reported among many of the Norwegian rainbow trout strains, permitting the opportunity for genetic identification (Glover 2008), and significant genetic differences between cages of Atlantic cod arising from different strains have been reported (Glover et al. in press). Consequently, these studies demonstrate that the bottom up approach adopted by the stand-by method is not only effective in the identification of farm-escaped Atlantic salmon in Norway, where it has been implemented repeatedly, but it is universally applicable for a wide range of aquaculture species where identification is required.

The DNA stand-by method has thus far been implemented in identification of farm escapees for species where there are morphological characteristics separating wild and farmed conspecifics. In Atlantic salmon, for example, scale reading and external body morphology (Lund et al. 1991), vaccine marks (Lund et al. 1997) and scale chemistry (Adey et al. 2009) all may play a significant role in identifying fish captured in the wild as farm escapees. For aquaculture species reared outside their native range or in regions where the presence of wild conspecifics is seasonal, distinct morphological characteristics to identify escapees may not be required. In regions where farmed and wild conspecifics overlap in time and space, but lack universal diagnostic morphological characteristics, the DNA stand-by method can still be implemented. By genetic analysis of both putatively identified recaptured escapees and wild fish captured at the same time and in the same area, it is possible to treat wild fish as a potential source for the putatively identified escapes, just as one treats farm samples, and assign/exclude the potential escapees from all sources.

As reported, the DNA stand-by method is unlikely to be able to identify a single source of escapement in every case. Although exclusion of many sources permits fishery officers to inspect a limited number of farms, the use of non-genetic supplementary identification methods, to increase precision, may play an increasing role in the future. For example, both fatty acid profiling of fish scales (Grahl-Nielsen & Glover in press) and scale microchemistry (Adey et al. 2009) have been demonstrated to differ between groups of Atlantic salmon reared on adjacent farms. In situations where DNA identification cannot make a fully diagnostic identification, it is possible that non-genetic supplementary methods can assist. In addition, chemical agents to delouse fish for Lepeophtheirus salmonis may play an important supplementary role in identification of source in regions where farms do not display synchronous delousing and/or where farms use different chemicals. The half-life of delousing agent residues also has the potential to assist in determining the time of escapement.

CONCLUSIONS

The DNA stand-by method represents a significant advance in identification of source for fish farm escapees. Its suitability for implementation with Atlantic salmon, rainbow trout and Atlantic cod in Norwegian aquaculture demonstrates that the method can potentially be applied for identification of fish farm escapees for a wide range of species across the world. The method has permitted Norwegian authorities to monitor aquaculture production and, where necessary, fine companies in breach of regulations. However, it is ultimately hoped that the method’s existence will encourage a higher reporting frequency from farmers.
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