

Nitrogen utilization in chlorophyll and primary productivity maximum layers: an analysis based on the f-ratio

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ABSTRACT: An analysis was made of the relative importance of 'new' (NO_3 uptake) and 'regenerated' (NH_4 uptake) production in the chlorophyll maximum (CHLmax) and primary productivity maximum (PPmax) layers of 152 profiles from temperate coastal and ocean waters. In the majority of the profiles (90 of 152), the PPmax was shallower than the CHLmax. For those profiles, the magnitude of the f-ratio [NO_3 uptake/ $(\text{NO}_3 + \text{NH}_4)$ uptake] was statistically less at the PPmax than at the CHLmax. These results offer support to the notion that the PPmax is fuelled largely by regenerated nutrients while the CHLmax is supported by new nutrients and is consistent with published evidence that: (1) the PPmax is an important site of enhanced zooplankton grazing and nutrient regeneration, and (2) the deeper CHLmax is at least partially a manifestation of in situ algal growth associated with the nitracline.

INTRODUCTION

A common biological feature of the upper water column of stable open ocean or temperate coastal waters after the onset of thermal stratification is a vertically well-defined layering of planktonic plants and animals. This vertical structuring is generally characterized by a subsurface maximum in chlorophyll *a* (CHLmax), often associated with a density discontinuity or nutricline, and a corresponding maximum in zooplankton abundance or biomass (e.g. Longhurst 1976, Cullen 1982, Longhurst & Harrison 1989). Detailed analyses of plankton profiles have revealed that the vertical positioning of the phytoplankton and zooplankton peaks often do not coincide; maximum zooplankton aggregation is frequently shallower than the depth of the CHLmax and usually associated with the maximum in absolute primary productivity (PPmax) (Longhurst 1976), or productivity normalized to biomass (P^B max) (Roman et al. 1986). Despite considerable interest in this association, a clear understanding of the presumed preference of grazers for phytoplankton in the shallower PPmax layer rather than in the more biomass-rich CHLmax has not been established, although differences in 'food quality' have been suggested (Longhurst 1976, Roman et al. 1986, Napp 1987, Napp et al. 1988a, b, Longhurst & Harrison 1989).

One likely consequence of the aggregation of grazers in the PPmax layer is an intensification of nutrient regeneration there, from their metabolic (excretory) activity (Roman et al. 1986). Experimental evidence for enhanced grazing, respiratory activity and ammonium regeneration above the CHLmax (and coincident with the PPmax) exists for coastal and oceanic waters (Bidi-gare et al. 1982, King 1984, Roman et al. 1986, King et al. 1987). This localized nutrient supply mechanism, in turn, fuels and may to some extent be responsible for the maximum in productivity in an otherwise nutrient-deficient, light-sufficient mixed layer.

Valuable complementary information on the interactions of primary producers and grazers may be gained through an analysis of the forms of nitrogen available for and used in primary productivity. Dugdale & Goering (1967) showed that primary productivity could be partitioned into 'new' and 'regenerated' production based on the form of limiting nutrient, nitrogen, used. Regenerated production is that portion fuelled by nitrogen recycled biologically in the euphotic zone, ammonium (NH_4) being the principal form. New production, on the other hand, is fuelled by external sources, primarily in the form of nitrate (NO_3) supplied principally by vertical mixing from below the euphotic zone. If the PPmax is a site of enhanced grazing (and nutrient regeneration) activity, then the relative proportion of regenerated

production should be greater (or proportion of new production less) there than at the CHLmax, which presumably owes its existence to a greater extent to new (NO_3 -based) production (Lorenzen 1967, Anderson 1969, Venrick et al. 1973, Herbland & Voituriez 1979, Eppley et al. 1988, Longhurst & Harrison 1989).

With the above points in mind, I describe here an analysis of the relative importance of new and regenerated production in the PPmax and CHLmax layers from an extensive number of productivity profiles collected by our laboratory over the past several years.

METHODS

Data on the depths of the nitracline (Zn, depth of first detectable NO_3), the euphotic zone (Ze, 1% light level), the CHLmax and the PPmax were extracted from 152 productivity profiles representing 13 cruises and covering ocean regions from the tropics to the high Arctic (Table 1). Most of the stations were in coastal or continental shelf/borderland waters with some 25 to 30 from the open ocean. To assess the relative importance of new and regenerated production at each of the CHLmax and PPmax depth horizons, the corresponding NO_3 and NH_4 utilization rates were recorded and represented as the f-ratio (Eppley & Peterson 1979, Eppley 1981), $f = [\text{NO}_3 \text{ uptake}/(\text{NO}_3 + \text{NH}_4) \text{ uptake}]$.

Standard methods were used in the measurements of chlorophyll *a* (Holm-Hansen et al. 1965), nutrients (NO_3 : Strickland & Parsons 1972; NH_4 : Solorzano 1969), and primary productivity (^{14}C uptake: Steemann-Nielsen 1952). NO_3 and NH_4 uptake measurements followed the basic ^{15}N tracer procedures outlined by Dugdale & Goering (1967); incubation for carbon and nitrogen

uptake were carried out either in situ or in 'simulated' in situ deck incubators (Table 1). Incubations ranged from 3 to 24 h but were in most cases for 24 h, and ^{15}N tracer additions ranged from 0.05 to 0.1 μM , approximately equivalent to the analytical detection limits for NO_3 and NH_4 using conventional methodology (see below). The euphotic depth was determined by secchi disk lowerings (1% light level = 3 \times secchi depth) or submersible light meter (LICOR).

The f-ratios derived from 'conventional' ^{15}N uptake data may be subject to a number of errors (e.g. Harrison et al. 1987, Goldman 1988); major among these are currently felt to be the effects of isotope dilution on NH_4 uptake and the 'pulsing effect' of the tracer addition (i.e. short-lived enhancement of uptake) on both NO_3 and NH_4 uptake. Significant isotope dilution will result in an *underestimate* in NH_4 uptake and an *overestimate* in the f-ratio as a consequence. Pulsing, on the other hand, will result in an *overestimate* in NO_3 or NH_4 uptake or both and consequently the effects on the f-ratio are *indeterminant*. The significance of both dilution and pulsing errors presumably is greatest in the upper water column (mixed layer) where nutrient concentrations are often low or undetectable and where nutrient recycling is high. To compensate for the effects of isotope dilution, I have corrected the NH_4 uptake data using the formulation of Kanda et al. (1987) and the assumption that NH_4 uptake and regeneration are balanced; this seems to be a reasonable assumption based on experimental evidence (e.g. Glibert et al. 1982). Correction for pulsing effects are not as straightforward. I have, however, identified those data where this problem would most likely be important, i.e. where substrate concentrations were at or below the analytical limit of detection (ca 0.03 μM for NH_4 , ca 0.05 μM for NO_3), and have

Table 1. Data sets

Location	Lat.	Long.	Month	No. of stns	No. of depths	Incub. ^a
Eastern Arctic	60–80° N	56–94° W	Jul/Aug	17	5	SIS
	45–77° N	58–78° W	Aug/Sep	14	5	SIS
Labrador Sea	52–56° N	50–55° W	Jul	9	8	SIS
Celtic Sea	50° N	07° W	May	4	6	IS
Grand Banks, Nfld	45° N	50° W	Apr	2	8	IS
	45° N	50° W	Sep	6	9	SIS
Scotian Shelf	41–45° N	61–64° W	Apr	15	5	SIS
Georges Bank/NW Atl.	37–43° N	65–68° W	Jul/Aug	13	10	SIS
Mid Atlantic Bight	35–40° N	70–75° W	Aug/Sep	6	6	SIS
NW Atlantic	32–39° N	55–65° W	Jun/Jul	15	11	IS
S. California Bight	32–34° N	117–119° W	Mar/Jun/Dec	37	6	SIS
Eastern Trop. Pacific ^b	09–10° N	89–94° W	Mar/Apr	4	11	SIS
Peru Upwelling	10° S–05° N	78–80° W	Oct/Nov	10	6	SIS

^a SIS: 'Simulated' in situ; IS: in situ
^b Includes data from the Costa Rica Dome

excluded these from the analysis where appropriate; of the 152 data pairs analysed, they represented 46 pairs, i.e. ca 30 % of the total.

RESULTS

Most of the data were from temperate coastal waters, taken during late spring or summer, when the upper water column is typically stratified. The majority of the profiles were characterized by a well-developed, relatively low-nutrient mixed-layer and conspicuous sub-surface CHLmax. The nitracline depth varied by region (Table 2) but was consistently shallower than the euphotic depth (Fig. 1). The CHLmax depth generally tracked that of the first detectable NO₃ but on average was displayed slightly deeper, within the nutrient gradient (Table 2).

Considering all profiles, the depth of the PPmax was generally shallower than that of the CHLmax (Fig. 1). The average displacement was 14 m (Table 2); however, displacement tended to increase with increase in depth of the CHLmax (Fig. 1). A similar pattern was seen in the displacement in nitracline and euphotic depths with increase in transparency. For ca 1/3 (48 of 152) of the profiles, the depth of the CHLmax and PPmax were the same within the resolution of the data (average sample depth-spacing was on the order of 5 to 10 m). Of the remaining profiles, almost 90 % (90 of 104) showed the PPmax significantly shallower than the CHLmax (paired t-test, $p < 0.001$) and within the upper mixed layer; the average displacement in this case was 24 m. For the remaining 14 profiles, the PPmax depth apparently exceeded that of the CHLmax, by about 7 m on average.

Comparisons of f-ratios showed the number of profiles where the f-ratio of the CHLmax exceeded that of the PPmax was about 2:1 considering all the data (Fig. 2). This relationship was essentially unchanged when the low substrate concentration samples (see 'Methods') were excluded. For the subset of profiles where the PPmax was shallower than the CHLmax, the f-ratios at the PPmax were significantly lower (t-test, $p < 0.001$) than the corresponding f-ratios of the CHLmax (0.35 versus 0.52 on average; see also Table 2). For the subset of profiles where the depth of the PPmax exceeded that of the CHLmax, the f-ratios were statistically indistinguishable. Similar results were obtained when low substrate concentration samples were excluded from the analysis. There were a number of additional profiles (19 of 152) where the f-ratio at the PPmax was greater than that at the CHLmax (although the depth of the PPmax was shallower than that of the CHLmax), these 'anomalies' were often associated with unexpected vertical dis-

Table 2. Mean values for profile parameters by data set. Zn: depth of first detectable NO₃; Ze: depth of euphotic zone (1 % surface light); CHLmax: chlorophyll *a* maximum; PPmax: primary productivity maximum; P^Bmax: chlorophyll *a* normalized primary productivity maximum

Location	No.	Zn	Ze	CHLmax	Depth (m)		NO ₃ (μM)		NH ₄ (μM)		f-ratio	
					CHLmax	PPmax	CHLmax	PPmax	CHLmax	PPmax	CHLmax	PPmax
Eastern Arctic	17	15	33	24	11	4	2.82	1.37	0.23	0.12	0.54	0.43
	14	15	36	24	9	1	2.26	0.66	0.24	0.15	0.51	0.34
Labrador Sea	9	25	45	21	20	10	1.84	1.57	0.30	0.30	0.69	0.61
Celtic Sea	4	38	40	30	9	9	0.67	0.63	1.02	1.32	0.69	0.42
Grand Banks, Nfld	2	18	40	28	5	5	10.83	2.69	0.37	0.06	0.97	0.64
	6	33	58	28	18	5	0.75	0.70	0.28	0.17	0.38	0.33
Scotian Shelf	15	16	38	28	6	4	1.63	1.11	0.61	0.43	0.33	0.30
Georges Bank/NW Atlantic	13	33	58	34	23	6	1.33	0.53	0.36	0.33	0.37	0.32
Mid Atlantic Bight	6	22	46	31	13	7	3.17	0.09	0.02	0.01	0.78	0.52
NW Atlantic	15	73	107	81	36	15	0.36	0.09	0.11	0.08	0.28	0.10
S. California Bight	37	16	40	12	10	10	1.38	1.52	0.62	0.92	0.43	0.43
Eastern Trop. Pacific ^a	4	28	63	29	26	29	10.28	6.81	0.13	0.16	0.84	0.59
Peru Upwelling	10	14	32	17	7	7	6.80	3.26	0.87	1.05	0.63	0.46

^a Includes data from the Costa Rica Dome

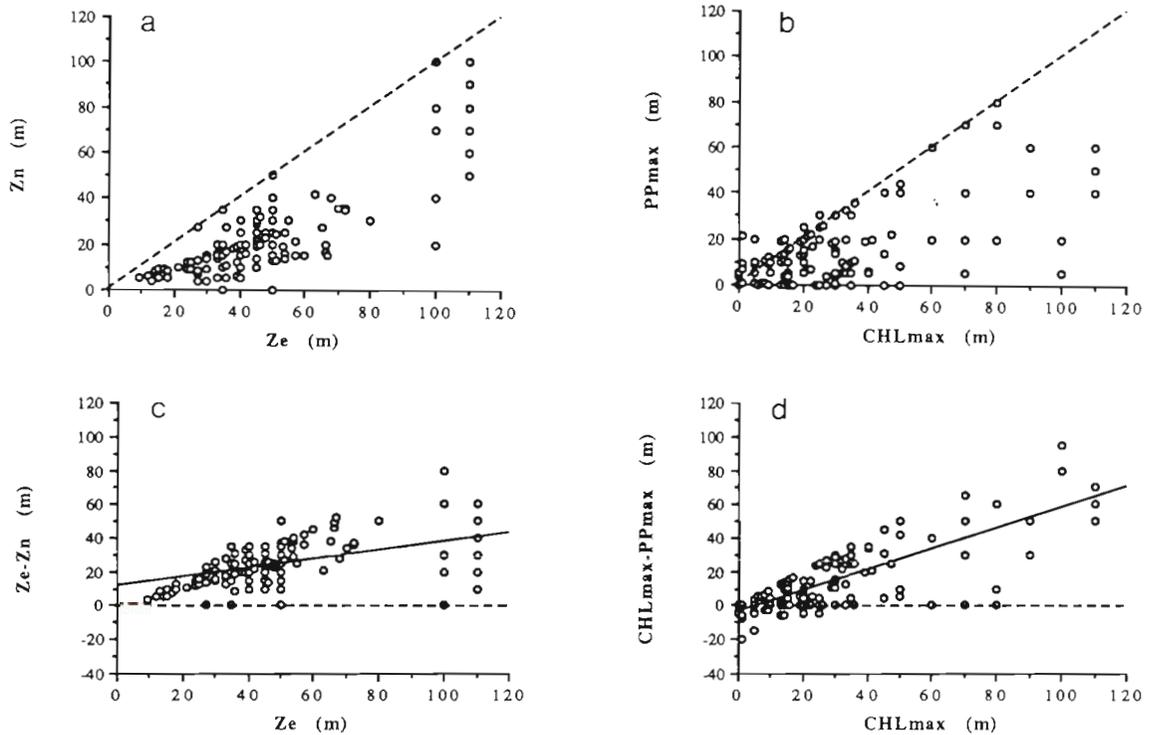


Fig. 1. Relationships among selected profile properties. (a) Depth of nitracline (Zn) versus depth of euphotic zone (Z_e); (b) depth of PPmax versus depth of CHLmax; (c) depth displacement of nitracline (Zn) and bottom of euphotic zone (Z_e) versus depth of euphotic zone; (d) depth displacement of PPmax and CHLmax versus depth of CHLmax. Dashed lines in (a) and (b) denote 1:1 correspondence. Solid lines in (c) and (d) are least-squares linear regression fits

tributions of NO_3 , i.e. where NO_3 concentrations at shallow sampling depths (PPmax) were higher than they were deeper in the water column (CHLmax).

DISCUSSION

With regard to the general structure of chlorophyll and primary productivity in stratified waters, the above

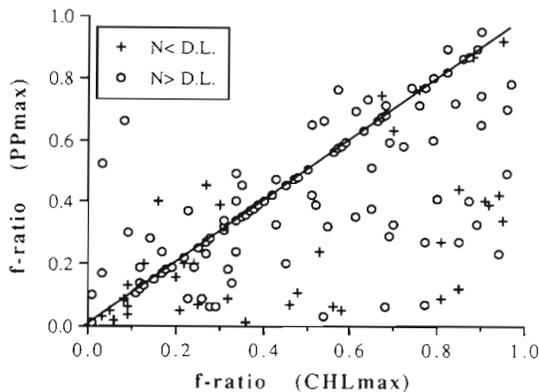


Fig. 2. Relationship between the f-ratios at the PPmax and at the CHLmax. Line denotes 1:1 correspondence, $N > \text{D.L.}$ = samples where corresponding NO_3 or NH_4 value was greater than the analytical detection limit for that nutrient ($\text{NO}_3 = 0.05 \mu\text{M}$; $\text{NH}_4 = 0.03 \mu\text{M}$). $N < \text{D.L.}$ = samples where NO_3 or NH_4 values were equal to or less than the detection limit

results are not new but provide additional support for the extensive work already published on this topic (Cullen & Eppley 1981, Cullen 1982, Napp 1987, Napp et al. 1988a, b, Longhurst & Harrison 1989, and references cited therein). The presence of a productivity maximum shallower than the chlorophyll maximum (with depth separation increasing with depth of the CHLmax) was a common feature in coastal and oceanic waters. There were, however, a significant number of profiles (ca 1/3) in which the PPmax and CHLmax were found at the same depth. Some of these were profiles from weakly or unstratified waters (e.g. Peru upwelling region) or early in the growth season of temperate coastal waters when the CHLmax and PPmax are more likely to occur nearer the surface and at similar depths (Steele & Yentsch 1960) Herbland & Voituriez (1979), on the other hand, have suggested that the CHLmax, PPmax and nitracline occur at the same depth over an extensive area of the highly stratified tropical Atlantic in summer, in contrast to what has been found in the Pacific (Longhurst 1976). Profiles from the present study for the oligotrophic NW Atlantic, though less extensive than the above studies, consistently showed the PPmax to be ca 40 m shallower than the CHLmax and nitracline (see also Longhurst & Harrison 1989).

It is important to note, as others have (Napp 1987), that the depths of the *true* maxima in chlorophyll and

productivity may not have coincided exactly with the discrete sampling depths chosen. This is particularly a concern for those data sets where only 5 or 6 depths (103 of 152 profiles) were available and may to some extent help explain the 'anomalous' profiles where the depth of the PPmax apparently exceeded that of the CHLmax. The general relationships seen here do not seem, however, to be at variance with those of others who have made more detailed studies of PPmax and CHLmax layers (Longhurst 1976, Cullen 1982, Napp 1987, and references therein).

The f-ratio analysis provided an additional perspective to explain the observed depth displacement of the CHLmax and PPmax in stratified waters. Although predictable depth variations in the f-ratio are well documented, results described here represent the first systematic analysis that *directly* addresses the hypothesis that the principal forms of nitrogen utilized within (and sources available to) the PPmax and CHLmax layers differ. The relatively lower f-ratios at the PPmax support the view that regenerated nutrients are of greater importance in maintaining the primary productivity maximum than in maintaining the subsurface biomass maximum (CHLmax). The lower f-ratios at the PPmax are consistent with observations of zooplankton aggregation (Longhurst 1976, Herman et al. 1981, Fiedler 1983, Herman & Platt 1983, Herman 1984, Roman et al. 1986) and correspondingly elevated plankton grazing (Roman et al. 1986), respiration and NH₄ excretion (Bidigare et al. 1982, King 1984, King et al. 1987) at depths shallower than the CHLmax. Roman et al. (1986) suggested that the zooplankton maximum may be centered on the biomass-specific productivity peak (P^Bmax) rather than the absolute PPmax, a consequence of reducing algal biomass (CHL) by grazing while enhancing primary productivity by the concomitant excretion of nutrients. In the data summarized here, the displacement between the P^Bmax and the CHLmax was greater and the difference in the f-ratios larger than in the PPmax-CHLmax comparison (Table 2), further supporting the contention that the grazing and accompanying nutrient regeneration activity of the zooplankton, whether aggregated at the PPmax or P^Bmax, supply most of the nutrients to support the primary productivity there.

It is also true that microheterotrophs regenerate nutrients and their contribution may often exceed that of the macrozooplankton (Harrison 1978, 1980, Glibert 1982, Glibert et al. 1982, Harrison et al. 1983, Probyn 1987). Their distribution and metabolic activity (including nutrient regeneration), indeed, have also been observed in many cases to be maximal above the CHLmax and presumably in the region of the PPmax (Harrison et al. 1983, Ducklow 1984, Eppley et al. 1988).

Although the observed f-ratios were generally lower at the PPmax than at the CHLmax, in terms of *absolute* values, almost 40% on average of the primary production in the mixed layer was 'new' production. This is not surprising for coastal waters; however, f-ratios were 0.10 or less (i.e. new production 10% of total) at the oceanic stations studied (Table 2), consistent with the general pattern observed by others (e.g. Eppley & Peterson 1979).

With regard to the question of the formation and maintenance of the CHLmax (e.g. Cullen 1982), the results of the f-ratio analysis also lends support to the view that the CHLmax is largely a 'nutrient trap' (i.e. for the upward diffusion of NO₃ into the mixed layer) and may be at least partially the manifestation of in situ growth regulated by the supply rate of this 'new' nitrogen (Anderson 1969, Venrick et al. 1973, Fasham et al. 1985, Eppley et al. 1988, Longhurst & Harrison 1989). This is also consistent with Dugdale & Goering's (1967) conceptual model that *net* synthesis of plant material (in this case CHL production) occurs at the expense of 'new' nutrients (i.e. NO₃), made available principally by vertical mixing in the region of the nitracline. This interpretation, however, requires that CHL is a reliable index of biomass; the CHLmax, for example can be simply a manifestation of photoadaptation (more CHL cell⁻¹) rather than a true reflection of biomass (Cullen 1982).

Although Dugdale & Goering's model of a 2-layered upper ocean may be an over-simplification, particularly for the dynamic coastal ocean, the results presented here are entirely consistent with the ideas embodied in that model – that the vertical ordering of phytoplankton communities and their metabolic activities are dependent to a large extent on the balance in the vertical between physically and biologically mediated supply of limiting nutrients (Longhurst & Harrison 1989).

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