Potential effects of changes in mean climate and climate variability on the yield of winter and spring crops in Switzerland

Daniele Simone Torriani\textsuperscript{1,2}, Pierluigi Calanca\textsuperscript{1,*}, Stéphanie Schmid\textsuperscript{1}, Martin Beniston\textsuperscript{2}, Jürg Fuhrer\textsuperscript{1}

\textsuperscript{1}Air Pollution/Climate Group, Agroscope Reckenholz-Taenikon, Research Station ART, 8046 Zurich, Switzerland
\textsuperscript{2}Climate Research, University of Geneva, 7 Chemin de Drize, 1227 Carouge, Switzerland

ABSTRACT: Climate change is expected to affect both the average level and the variability of crop yields. In this modelling study, we quantified mean and inter-annual variability of grain yield for maize \textit{Zea mays} L., winter wheat \textit{Triticum} spp. L. and winter canola \textit{Brassica napus} L. for climatic conditions corresponding to current and doubled atmospheric CO\textsubscript{2} concentrations. Climate scenarios with and without taking into account changes in the inter-annual variability of climate were developed from the output of a regional climate model for the time window 2071 to 2100. Climate change effects on the mean yield of maize and canola were consistently negative, but a positive impact was simulated for mean yield of winter wheat for elevated CO\textsubscript{2} concentration. The coefficient of yield variation increased in the scenarios for maize and canola, but decreased for wheat. Higher thermal time requirements increased mean yield and reduced yield variability for all crops. Shifts in the sowing dates had a beneficial impact on the yield of maize, but not on the yield of canola and wheat. It is concluded that in the Alpine region, the potential effect of climate change is crop-specific. However, the introduction of new cultivars may provide means by which to maintain or even increase current productivity levels for most of the crops.

KEY WORDS: Climate change · Climate scenario · Inter-annual variability · Crop yield · Maize · Winter wheat · Winter canola

1. INTRODUCTION

The stability of crop yield is of great importance for farmers, food markets and political advisors, because large year-to-year variations in crop yield constrain overall farm productivity and farmers’ net benefits (Sombroek & Bazzaz 1996). Using worldwide data, Calderini & Slafer (1998) found that during the 20th century the yield stability of wheat increased in 7 countries but decreased in 14. In many European countries, the yield stability of several crops increased in recent years (Chloupek et al. 2004), but the opposite was observed in the USA, possibly as a consequence of increasing climate variability (Rosenzweig & Iglesias 2000).

Inter-annual variability of crop yield is affected by many factors, including improvements in the production practices, the appearance of new diseases and pests, changes in governmental policies, and differences in the climate settings from year to year. Experiments with climate models suggest that the latter could be enhanced by global warming (Räisänen 2002). For Europe, regional scenarios in particular indicate an increase in the variability of summer climate and a more frequent appearance of summer heat waves (Beniston & Diaz 2004).

For many years, the implications of changes in climate variability for the productivity of crops have received less attention than the effects of a steady
increase in mean temperature. Enhanced climate variability may lower mean yields because of a higher incidence of years with adverse conditions (Southworth et al. 2000), but sign and magnitude of the impacts will likely vary from region to region and depend on the crop (Porter & Semenov 2005). In Europe, productivity is likely to increase in northern Europe but decrease in southern Europe, unless adaptive measures are implemented to cope with the negative impact of climate change (Olesen & Bindi 2002).

The specific response of crops to climate change will depend on how growth and yield formation are stimulated by elevated CO2 concentrations. Direct stimulation of photosynthesis and increase in transpiration and water use efficiencies both play a role (Fuhrer 2003). The potential for a direct effect is larger in C3 than C4 crops, because ribulose-1,5-bisphosphate carboxylase-oxygenase (RuBisCO) in the latter is already CO2 saturated at current atmospheric levels (Long et al. 2004).

The overall objective of our study was to examine the effects of climate change on productivity for 3 of the main crops grown in Switzerland and Europe, namely *Zea mays* L. (maize), a C4 crop, *Triticum* spp. L. (winter wheat) and *Brassica napus* L. (winter canola), both C3 crops. Specific aims were to (1) develop a climate change scenario that accounts not only for the change in mean conditions but also in year-to-year variability; (2) compare mean yield levels and yield variability under current and projected future climatic conditions based on the results of simulations with a process-based crop model; and (3) test the sensitivity of yield and yield variability to changes in the thermal requirements and shifts in sowing date.

2. CLIMATIC DATA AND PROJECTIONS

The source of climatic data was the monitoring network of the Swiss Federal Office of Meteorology and Climatology (MeteoSwiss, www.meteoswiss.ch). For our study we considered daily weather data covering the period of 1981 to 2003 for a representative location on the Swiss Plateau (Waedenswil, WAE, 47° 26’ N, 8° 31’ E). Additional stations were used to carry out model calibration and testing: these are referenced in Section 3 and reported in Fig. 1.

As in Beniston & Diaz (2004), results of simulations carried out by the Danish Meteorological Institute with the regional climate model HIRHAM4 (Christensen et al. 1998) were used to infer climate projections for WAE for the nominal time window of 2071 to 2093. The original data are available from the homepage of the PRUDENCE initiative (http://prudence.dmi.dk, Christensen et al. 2002) and include a control run valid for 1961 to 1990 and a climate scenario valid for 2071 to 2100. The emission scenario adopted for this specific experiment was the IPCC SRES A2 scenario (Nakicenovic & Swart 2000). The corresponding CO2 level was about 800 ppmv by 2100 (3 times the pre-industrial values), which provided an upper bound for the ensemble of projections discussed in the Third Assessment Report of the IPCC (Houghton et al. 2001).

Initial and boundary conditions for running HIRHAM4 were inferred from simulations conducted by the UK Hadley Centre with the high-resolution atmospheric circulation model HadAM3H (Pope et al. 2000). The latter were driven with the output of the fully coupled ocean–atmosphere global climate model HadCM3 (Johns et al. 2003).

The grid-point with coordinates 47° 15’ N, 8° 35’ E situated 608.98 m above sea level was adopted to represent WAE, and specific climate scenarios were constructed by applying monthly climate anomalies modelled by HIRHAM4 for this grid-point as adjustments to the daily observations. We considered absolute changes for temperature and air humidity, but relative changes for precipitation and solar radiation. Two approaches were followed:

**1) CM approach.** Here we used constant anomalies, accounting only for changes in the long-term mean climate. This is analogue to the procedure followed in many impact studies (e.g. Jasper et al. 2004), but has the drawback of arbitrarily distorting the inter-annual SD (Mearns et al. 1997).

**2) CC approach.** According to the results of the HIRHAM4 simulations, changes in climate from year to year can be considerable. This is best seen in plots of the probability density functions of monthly values (Fig. 2). For this reason, in the second approach monthly anomalies were calculated for each year
according to the changes in the shape of the distributions. This was achieved by first determining the shifts in the cumulative distribution functions from the HIRHAM4 output (Fig. 3a) and then applying these changes to the observed distribution functions (Fig. 3b,c). Probability levels were used as a reference to assign specific anomalies to the individual years. Contrasting Fig. 3c with Fig. 2 shows that in CC differences in the probability density function between scenario and baseline are indeed in agreement with those simulated by HIRHAM4, but that the scenario also preserves the characteristics of the observed distributions.

Baseline climate and scenarios thus obtained for WAE are displayed in Fig. 4. The most striking differences between the CM and CC scenario are found in summer and winter precipitation, spring and autumn solar radiation, and summer temperature and humidity. While for some variables and months the CC scenario is characterized by a higher year-to-year variability, the opposite holds true when a narrowing of the distribution is indicated by the results of HIRHAM4.

### 3. MODEL DESCRIPTION AND CALIBRATION

CropSyst (version 3.04.01) is a process-based model that computes biomass accumulation and phenology at a daily time step for perennial and non-perennial crops specified by a generic set of parameters (Stöckle et al. 2003). CropSyst is driven with daily values of solar radiation, maximum and minimum temperature, maximum and minimum relative humidity, wind speed, and precipitation. Daily biomass increment is calculated as the minimum of either an increment proportional to daily transpiration or an increment related to intercepted solar radiation. Phenological development is described in terms of accumulated thermal units or growing degree days (GDD), and harvest is typically assumed to occur 5 d after maturity.

Plant processes are affected to various degrees by thermal and water stress, as well as by nutrient deficits. Atmospheric CO₂ is assumed to affect both the canopy resistance (with implications for the daily transpiration) and the factors relating biomass accumulation to transpiration and intercepted solar radiation (Bristow & Campbell 1984, C. Stöckle pers. comm.).

In our study, the model was calibrated with respect to the data obtained from 3 field trials: (1) ‘Burgrain’ (Dubois et al. 1999), a field experiment carried out in
1990 in central Switzerland that aimed to compare low input (organic), integrated and conventional management practices; (2) ‘Chaiblen’ (Dubois et al. 1998), a long-term field trial carried out in eastern Switzerland from 1989 to 1999 that investigated different rotations of wheat and maize and provided information on seeding date and density, variety, fertilizer and pesticide application, harvest date, and yield; and (3) a genotype testing and breeding program conducted from 1997 to 2003 in the region of Zurich, which provided detailed information on management practices, yield and chronology of phenological stages for canola and other winter cereals (Agroscope Reckenholz-Taenikon unpubl. data).

Fig. 4. Seasonal evolution of climatic characteristics at Waedenswil under present-day conditions (thin solid line) and in scenarios (thick dashed line = CC scenario; dotted line = CM scenario). (Dotted and dashed lines coincide in panels on the left-hand side; dotted and solid lines coincide in the lowermost 2 panels on the right-hand side.) Long-term mean and inter-annual SD are displayed in the left and right columns, respectively. From top to bottom: monthly total precipitation; monthly mean solar radiation; monthly mean maximum temperature; minimum relative humidity.
Daily weather data for the calibration were extracted from the database of MeteoSwiss for the following stations: (1) Lucerne (LUZ, 47° 0' N, 8° 30' E); (2) Taenikon (TAE, 47° 29' N, 8° 54' E); and (3) Zurich-Reckenholz (REH, 47° 26' N, 08°31' E) (Fig. 1).

The calibration was carried out in 2 steps, by adjusting first phenology and then biomass accumulation (van Ittersum et al. 2003). Critical crop parameters affected by the calibration are listed in Table 1, while Table 2 provides a summary of the benchmarks considered.

Not all of the relevant parameters could be specifically optimized. Owing to the lack of observations for leaf area index (LAI), the GDD necessary to reach maximum LAI were assumed to correspond to 95% of those required for flowering, whereas the GDD required for leaf duration were assumed to correspond to 90% of those required in order to reach maturity. This is in agreement with the standard settings of CropSyst. For wheat and canola, vernalization was adjusted to match observed dates of flowering. To drive vernalization, a crop parameter file provided by Istituto Sperimentale per le Colture Industriali (ISCI; M. Donatelli pers. comm.) was used.

For calibration and all subsequent simulations, a silty-clay soil was assumed (26% sand, 38% clay and 36% silt), with a permanent wilting point at 0.21 m³ m⁻³, saturated hydraulic conductivity equal to 0.36 m d⁻¹, air entry potential of −2.39 J kg⁻¹, and bulk density of 1.28 g m⁻³. A laboratory analysis of soil samples from the ‘Burgrain’ field trial (Dubois et al. 1999) suggested a soil organic matter content in the order of 2.6%, which is higher than, but overall consistent with, the estimate of 1.5% determined by Leifeld et al. (2005) as an average value for the Swiss Plateau.

### 4. RESULTS

#### 4.1. Model testing

The model was tested against farm census data collected since the early 1970s by the research station of the Swiss Federal Office for Agriculture located at Taenikon (ART 2002). The census refers to several thousand prototype farms spread over the Swiss territory (the exact number varies from year to year), which provide information on geographic location, cultivated area, crop yield and management costs, but not on seeding and harvest dates, nor rates of fertilizer applications.

Three regions were considered for the analysis. They were defined as the areas within a distance of 15 km from the 3 meteorological stations WAE, TAE and LUZ (see Sections 2 & 3 for coordinates). Census data from farms within these areas were aggregated and mean and SD were used for comparison with the simulations. The results are presented in Fig. 5, showing that—with a few exceptions (in particular maize yield at WAE before 1985, and at TAE after 1987)—the model performance is satisfactory. Note that only the data up
to 1993 were retained, because a change in the agricultural practice from high-input to low-input management took place in that year.

4.2. Effects of climate change and elevated CO₂

Results of simulations for rain-fed cropping that refer to current climatic conditions (‘Baseline’) and climate scenarios either without (‘CM–’, ‘CC–’) or including (‘CM+’, ‘CC+’) the effects of elevated CO₂ concentrations are presented in Fig. 6.

For all 3 crops, climate change alone (CC–) resulted in a marked reduction in the median yield (–34, –26 and –46% for maize, winter wheat and canola, respectively), and a substantial increase in the coefficient of yield variation (CV) for maize and canola (+60 and +130%, respectively). In contrast, with regard to winter wheat, a decrease in the CV was simulated with the CC– scenario (–30%).

With elevated CO₂ (CC+), median yields of maize and canola were still below the baseline level (–11 and –12%, respectively) and CVs were larger (+60 and +180%, respectively); however, for wheat, the median yield increased by 3% and the CV decreased by roughly 40%.

Differences between the CM and CC simulations were systematic, but specific for each crop. For maize, the reduction in mean yield and the increase in the CV were less pronounced with respect to the CM than the CC scenario. For wheat, the shift in mean yield was larger and the increase in CV higher in the CM than in the CC simulation. A decrease in the CV was also indicated for canola, while a slight increase was observed for winter wheat. For both winter crops, phenology and biomass accumulation proved to be very sensitive to the climatic conditions of late autumn and early spring. For canola, unrealistic delays in development and yield deficits were simulated by CropSyst in 3 years. These were subsequently excluded from the analysis.
Reduction in mean yield in the CM– and CC– simulations was associated with a shortening of the growing period, which was the consequence of increasing temperatures. If compared with the baseline, the length of the growing period (sowing to maturity) in the CM/CC scenarios decreased from 131 to 105/105 d for maize, from 274 to 246/263 d for winter wheat and from 331 to 287/290 d for winter canola.

The effects of irrigation are only shown for maize (Fig. 7) because shortage of water in northern Switzerland effectively limits biomass accumulation only in summer and early autumn (Jasper et al. 2004), and is therefore irrelevant for the productivity of the 2 winter crops (not shown). As expected, irrigation increased yield and slightly improved yield stability. With irrigation, the reduction in median yield relative to the baseline was 23% in CC– (34% in the rain-fed simulation), but baseline yield levels were maintained in the CC+ simulation (reduced by 11% in the rain-fed simulation). With irrigation, CVs under climate change conditions were still considerably larger than in the baseline condition (+38 and +36% in CC– and CC+, respectively), but were nevertheless significantly smaller than in the rain-fed simulations.

4.3. Sensitivity to GDD requirements

Cultivars with differing thermal time requirements are already grown under current climatic conditions (Burton et al. 2004, Duvick 2005), and consideration of these differences could be one of the keys for developing effective measures of adaptation to climate change (Southworth et al. 2000).

The sensitivity of yield with respect to GDD requirements was examined by proportionally increasing the GDD thresholds given in Table 1 by +20 and +40% (slower maturing cultivars). A proportional reduction by 10% in the GDD requirements was also examined to see whether a shortening of the growing season could prevent exposure to drought.

As seen in Fig. 8, median yield was indeed found to be highly sensitive to changes in GDD. A reduction in GDD by 10% resulted in lower median yield and increased CV for all 3 crops.

In contrast, higher GDD requirements had a positive impact on median yield. Under the assumption of a 40% increase in GDD, improvements relative to the CC+ simulation were +58, +33 and +75% for maize, wheat and canola, respectively. For canola, imposing higher thermal requirements also markedly reduced the CV of yield (–55 and –63% for the CC+ simulation of a GDD increase of 20 and 40%, respectively).
4.4. Sensitivity to sowing date and combined adjustments

In the baseline simulations, sowing of maize, winter wheat and winter canola was prescribed on May 10, October 10 and August 25, respectively. The sensitivity of yield with respect to shifts in sowing date was examined in relation to the CC+ scenario, with anticipations of 30 and 50 d in the case of maize (Fig. 9) and delays of 30 and 50 d in the case of winter wheat and winter canola (not shown). We speculated that a later sowing of winter crops could have some advantages with respect to the rotation of spring and winter crops, leaving a wider time window after the harvest of spring crops. However, the results of the simulations showed that the impact on yield was marginally (wheat) or considerably (canola) negative.

For maize, the anticipation of the sowing date had beneficial impacts on yield and yield stability, reducing the coefficient of yield variation by roughly 20% relative to the simulation with standard sowing date. In view of the above results, we also considered a combination of adjustments for maize. The effects of increased GDD and earlier date of sowing are illustrated in Fig. 10 as a plot of mean yield vs. SD. Mean yield and yield variability were to a high degree determined by changes in the GDD. A positive effect of earlier sowing date on yield stability could only be detected in combination with a moderate increase in the GDD requirements.
5. DISCUSSION

Elevated CO\textsubscript{2} concentrations and global warming are expected to amplify the inter-annual variability of summer climate in central and eastern Europe (Beniston & Diaz 2004). Climatic conditions in these areas thus become comparable with those in the Mediterranean basin, which implies increasing risks to yield of spring crops during the course of the 21st century (Olesen & Bindi 2002, Porter & Semenov 2005, Fuhrer et al. 2006).

While the importance of taking into account changes in climate variability when deriving regional climate scenarios is beyond question (Mearns et al. 1997), there is actually no unique approach by which to do so. Use of weather generators can be recommended when historical weather records are of sufficient length to achieve a realistic and reliable conditioning of the statistical models implemented in the generators. In comparison, the adjustment of observed weather data with anomalies derived from simulations with climate models (Houghton et al. 2001) has the advantage of being straightforward and able to accommodate biases in the model output, which are substantial in relation to the precipitation field over the Alpine region (Frei et al. 2003).

Here we propose a simple method for developing unbiased climate scenarios, whereby observed daily data are adjusted with monthly anomalies that reflect the full changes in the probability distribution of each of the climatic elements. The method preserves the relationships between precipitation on the one hand, and solar radiation, temperature and air humidity on the other hand. This is a strict requirement for the simulation of climate change impacts on crop productivity.

The main weakness of the proposed procedure is that it does not take into account day-to-day changes in weather patterns. This also means that the frequency of rainfall events is left unchanged. Shifts in the occurrence of rainfall can be as important as changes in rainfall intensity (Calanca 2006); simultaneous frequency and intensity correction of modelled daily rainfall was recently explored by Ines & Hansen (2006).

With respect to mean climate, the main features of the CC scenario developed for this study were an increase/decrease in winter/summer precipitation, an increase in solar radiation in spring and summer, a systematic increase in air temperature, and a decrease in air humidity in summer and autumn. These characteristics were in general agreement with projections from an ensemble of scenarios used in an earlier study (Jasper et al. 2004). But unlike in these earlier scenarios, we only observed a slight decrease in the variability of summer precipitation, an increase/decrease in the variability of solar radiation in spring/late summer, and a substantial increase in both the variability of temperature and humidity during summer.

Overall, the impact of the scenario on the simulated yield of maize, winter wheat and winter canola was to lower the mean productivity and, for maize and canola, to induce a greater year-to-year variability. The negative impact of CC was striking when the effects of elevated CO\textsubscript{2} concentrations (scenario CC–) were ignored, and less pronounced when CO\textsubscript{2} stimulation of crop growth (CC+) was considered. However, this latter result needs to be verified in the future. As in other crop models, the parameterization of the CO\textsubscript{2} effects in CropSyst was originally inferred from data reported by Kimball (1983). Conclusions drawn from the data were recently questioned by Long et al. (2006), who reviewed the findings from more recent free-air concentration enrichment (FACE) experiments.

Systematic differences were found between CC and CM simulations, emphasizing the importance of year-to-year variations in the climate settings. These differences were crop-specific, with dissimilarities not only in the response of spring and winter crops, but also in the response of the 2 winter crops considered. This means that conclusions on the impact of climate change on crop productivity drawn for a particular crop cannot be extended to other crops (see also Porter & Semenov 2005).

In our model study, the negative effects of climate change were mainly associated with the impacts of higher temperatures on phenology, namely the acceleration of crop development (Porter 2005). Estimates for the reduction in the length of the grain-filling period are currently set between 1 and 2 d per 1°C increase (Olesen 2005), whereas estimates for the advancement of maturity dates are given in the order of 1 mo per 4°C increase in mean temperature (Tubiello et al. 2000). In our simulations, the shortening of the growing period was significant for all crops. A higher incidence of water stress was also indicated for maize.

The 8% reduction in mean yield of maize in response to CC+ compares well with results from 2 Italian locations (–13%, Tubiello et al. 2000), even though our simulation did not account for the negative impact of heat stress on maize fertility (Challinor et al. 2005). As suggested in Fig. 7, current levels of productivity of spring crops can effectively be maintained through irrigation.

The above discussion applies to the unrealistic situation of no change in crop management in response to new climatic conditions. Options for autonomous adaptation exist and should be further explored in the future (Olesen & Bindi 2002). Because growth and yield are contingent on the duration of phenological phases (Horie 1994), increasing the GDD requirements
in the simulations was the simplest way by which to mimic slower maturing cultivars that could be obtained through genetic improvement (Duvick 2005). The simulations indicated that an increase in GDD requirements is highly effective in overcoming the negative effects of CC: resulting yields clearly exceeded baseline levels for all crops. However, increasing the GDD requirements may not necessarily improve yield stability: for maize and wheat (but not canola), the simulated increase in mean yield was associated with a larger CV.

The other simple, possible adaptation to the new climatic conditions that we explored in our study was a shift in the planting dates to allow crop development during more favourable conditions, i.e. earlier sowing of spring-sown crops and later sowing of winter cereals. The simulations showed that advancing the sowing date is an effective measure by which to counteract CC with respect to spring or summer crops. However, delaying the planting date for winter crops made it difficult to obtain a realistic phenology and plant development.

Several important issues could not be addressed in our investigation. For instance, field studies have shown that a modification of the activity of plant diseases and weeds resulting from shifts in sowing date can be relevant for quantity and quality of grain yield (Kirby et al. 1984, Hossain et al. 2003). These and other aspects should be included in an extension of the present study.

6. CONCLUSIONS

In this study, a simple method was proposed for deriving unbiased climate scenarios from the output of climate models. Application of the scenarios to the analysis of crop yield confirmed the differential sensitivity of crops to climate change. Of the 3 crops studied, winter wheat was the only one to respond positively to climate change in combination with elevated CO₂. Without CO₂ fertilization, the average impact of climate change on harvestable yield was consistently negative.

The results proved to be sensitive to the choice of seeding date and thermal time requirement. For maize, a combination of simple measures of adaptation was effective in overcoming the negative effects of climate change; however, for the winter crops, improvements could only be simulated with respect to an increase in the GDD requirements. These results suggest that there is no general rule for adapting different crops to new climatic conditions.

Our study focused on the north area of Switzerland. Experiments with regional and global climate models are in agreement in indicating a transition from a temperate to a more arid summer climate in this region during the coming decades. The implications of these changes, which we simulated with CropSyst for maize, were consistent with those of previous studies. Less certain are our conclusions with respect to winter crops. This is partly owing to difficulties that remain in correctly reproducing the phenology, leaf-area development and yield of winter crops. In this respect, improvement of the model behaviour is a necessary step toward a more reliable assessment of the impact of climate change on cropping systems.

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