New measurements of phytoplankton aggregation in a flocculator using videography and image analysis

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ABSTRACT. Diatom aggregation can increase the sedimentation rate of the intense pulses of carbon formed during diatom blooms. Laboratory studies of aggregation dynamics have been troubled by the unavoidable disruption of aggregates in laboratory flocculators due to subsampling and electronic particle counting. Aggregate disruption prevents accurate measurement of aggregation rate and makes observation of particle structure impossible. This led us to develop a new non-disruptive method to quantify diatom aggregation using high-resolution video and image analysis. The system easily resolved cells of Chaetoceros neogracile 6 to 8 μm in diameter, and facilitated observations of aggregate morphology. C. neogracile made either ball-like or net-like aggregates depending on culture density and physiological state. Only the net-like aggregates reached a substantial size (>1 mm). A Si-limited batch culture experiment indicated that stickiness of C. neogracile increased significantly with increasing severity of nutrient limitation. This increase was not detectable by the subsampling and electronic particle counting method. It is likely that spine formation, cell-surface bound sugars, and transparent exopolymer particles all played a role in determining aggregate structure and changes in stickiness. The stickiness calculation model assumes cultures are initially suspensions of single cells which form doublets as they aggregate. This assumption was tested by comparing mean particle size in a culture with the percentage of cells in aggregates as determined using nearest neighbor distances, allowing us to assess the mean number of cells per aggregate. C. neogracile commonly formed aggregates containing anywhere between 2 and 10 cells showing that model assumptions can be violated under normal experimental conditions. A larger field of view was necessary to estimate the larger particle sizes typical of coastal diatom blooms.

KEY WORDS: Aggregation Adhesion Video Image analysis Diatom Chaetoceros neogracile

INTRODUCTION

Coastal diatom blooms produce some of the highest annual pulses of 'new' production found in the global ocean (Burrell 1988). These blooms can be of very short duration, sometimes peaking over the period of a single week (Laws et al. 1988). Rapid removal of this sudden accumulation of biomass can be effected by the formation of cell aggregates whose maximum sedimentation rates are orders of magnitude higher than those of single cells (Jackson 1990, Riebesell 1991). This process can terminate a bloom before nutrients are fully depleted at the surface (Jackson & Lochmann 1993). Aggregation also changes the availability of food to grazers (Stoeker et al. 1981, Hart 1991), especially those with size-preferences for their prey (Rubenstein & Koehl 1977, Shimeta & Jumars 1991). The dynamics of aggregation are thus of central importance to the fate of diatom carbon in coastal ecosystems.

Typically, aggregation rates are quantified by observing phytoplankton cultures or field samples in a cylindrical device known from the sanitary engineering literature as a flocculator. This device generates a known laminar shear, and thus a known number of...
METHODS

Experimental setup. To estimate aggregation more accurately, we built a video system to non-invasively record changes in cell size distributions within an experimental Couette chamber (floculator). The floculator consists of 2 concentric Plexiglas cylinders. The volume between the cylinders is filled with either a field sample or a laboratory culture, and the outer cylinder is rotated to generate a laminar shear within the volume, causing cells to collide and adhere into aggregates if sticky. We used the modified version of the floculator described by Drapeau et al. (1994), who placed the rotating chamber horizontally rather than vertically, reducing the effect of gravity on differential settlement of particles during operation (Fig. 1). To assess aggregation, we used a high-resolution imaging system and video recorder to observe aggregate formation as it occurred within the floculator. A Pulnix TM-7EX CCD camera fitted with a Zeiss 20× long-working distance objective lens [working distance: 1.2 cm, depth of field: 0.2 mm, NA (numerical aperture of objective): 0.4] was focused within the laminar shear zone of the Couette chamber. The beam from a fiber-optic light source was directed through the water bath, the Couette chamber, and into the camera. The camera’s electronic shutter was set to provide a 1/1000 s exposure time for each video field to eliminate blurring.

Fig. 1 Video setup for aggregate size measurement. A CCD camera fitted with a 20× microscope objective is placed such that it is focused on the volume within the floculator. A high-speed shutter (1/1000 s) stops movement as the floculator spins, allowing individual video images to be used for cell and aggregate size analysis. See text for details.
of the video image while the flocculator was operating. The system produced high-contrast bright-field exposures at 60 images per second which were recorded on a Sony EVO-9600 series Hi8 recorder. We used 2 fields of view (FOV): a small FOV with dimensions ca 800 μm (width) × 400 μm (height) × 20 μm resulting in an imaged volume of 0.0064 μl, and a large FOV with dimensions of 14 mm (width) × 10 mm (height) resulting in an imaged volume of ca 3 ml. The Chaetoceros neogracile experiments were conducted with the small FOV configuration.

Digital image processing was used to calculate statistical parameters associated with the aggregation process. One in every 60 images was selected by the image processor for analysis (yielding a temporal resolution of 1 s). The number of images chosen for analysis per unit time represented a compromise between maximizing temporal resolution of the resulting data, and completing the automatic size analyses in a reasonable amount of time. Analyzing 1 in every 60 images allowed the system to analyze 45 min of video data in 8 h, which was acceptable for our purposes.

All image processing was done within Bioscan’s Optimas software package. For each video field, the image was captured, individual cells isolated using thresholding and edge detection (Fig. 2A), and the cross-sectional area of each cell in the field of view was calculated. For simple, ball-shaped aggregates (Fig. 2A) such analysis was straightforward. However for net-like aggregates (Fig. 2B) where porosity was high, each particle became isolated in analysis, yielding, instead of an aggregated measurement, a series of single cells. We observed that, even in large porous aggregates, cells of Chaetoceros neogracile in aggregates were not more than 30 μm apart in cross-section. For this reason we measured nearest neighbor distance (NND) for each individual cell, and assumed that cells with a NND below a threshold of 30 μm were part of an aggregate. We developed a method to determine which cells were part of a single aggregate essentially by expanding a halo 30 μm from the centre of each cell, through a series of erosions and dilations (Fig. 2D). Cell spines of C. neogracile were approximately this length, as shown in the electron micrographs (Fig. 3), and we considered the spines one of the likely factors causing spaces to form between the cells. We thus included all cells within 30 μm of each other into a single aggregate in which the halos became contiguous (Fig. 2D), but then shrunk the halo back around the individual cells so that the cross-sectional areas of all cells in the aggregate would be binned into a single size measurement (Fig. 2E). These manipulations were done automatically for each video field, allowing us to measure the volume of the cells contained within net-like aggregates.

The size data from each video field, once generated, were then binned into groups of 50 consecutive images. The mean size of each bin was then taken as a single data point. Each data point shown in the figures below represents mean size of all the cells in 50 images, in a total of about 0.32 μl of culture medium sampled over 50 s of the experiment. The cell size measurements we made were calibrated by adding 10 μm polystyrene beads into the solution within the flocculator, and measuring a size distribution of 10 μm particles.

**Calculations.** Initially we assume a spherical cell, in order to gain a particle diameter measurement from a cross-sectional area, with particle diameter (d) which was calculated simply as \( d = \sqrt{\frac{X}{\pi}} \) where \( X \) is cross-sectional area. For the initial testing of the method, all size data were calculated as equivalent spherical diameter (see above), which is acceptable if we accept the assumption that cells are randomly oriented in the flow (Morel & Brisaud 1996) and that a cross-sectional area of a cell roughly represents the cross-section of a sphere.

The stickiness calculations of Kiørboe et al. (1990) depend on the increase in mean particle size over time as cells collide and adhere to each other within the flocculator:

\[
\alpha = \left( m \cdot \pi \cdot \exp(s^2/d^2) \right) / \left( 7.824 \cdot V \cdot S \right)
\]

where \( \alpha \) is stickiness, \( m \) = slope of in particle diameter (μm) vs time (s), \( s^2 \) = variance in the size distribution of the particles (μm) at \( t = 0 \), \( d \) = mean particle diameter (μm), \( V \) = the volume fraction of particles at \( t = 0 \) (ppm), and \( S \) = mean shear rate (s⁻¹). This simplification is based on the assumption that increase in mean particle size is caused only by the collision and adhesion of single cells, forming doublets (Kiørboe et al. 1990). We can test this assumption, implicit in all previous work, in our experiments using the video method and 2 simple calculations. The distribution of NNDs within a culture gives us an estimate of the number of cells packaged in aggregates. We can then compare the mean cell size in an experiment with one we would expect if all aggregates were doublets, and confine our analysis to parts of the experiment (most likely the initial stages) where this assumption holds. If only doublets are being formed in a given experiment, and we assume all cells are the same diameter then:

\[
each \ cell \ diameter \ = \ 1; \ a = \ number \ of \ single \ cells; \ b = \ number \ of \ doublets; \ M = \ mean \ particle \ diameter \ (in \ no. \ of \ cell \ diameters); \ N = \ total \ number \ of \ cells.
\]

Assume no surface area conservation, since we are dealing in the initial case with porous aggregates where cell surfaces do not touch.
Conservation of particle number is necessary, so
\[ N = a + 2b \tag{2} \]
where \( N \) is assumed to be a constant for a given experiment. Combining these 2 equations, we then get
\[ M = N / (N - b) \tag{3} \]
where \( N \) and \( b \) are known or measurable, so we can solve for \( M \).

If only doublets are formed, \( b \) must be equal to the number of cells in aggregates (defined here as cells with NNDs <30 \( \mu \)m). We calculated the frequency distribution of the nearest-neighbor distances (NNDs) at 1 min intervals, binned the NNDs into size categories and calculated the \% cells within aggregates at any time during the experiment.

For example, in Expt 12/16a (see below) mean particle diameter increased by 8 \% in about 40 min. If the 8 \% size increase was caused solely by singlets forming doublets, 14.9 \% of cells would have to be in aggregates to account for the observed particle size increase \((b = 0.149 N)\). However, if all aggregates formed were 10 cells per aggregate, only 8.2 \% of cells would need to be aggregated to cause the same increase in mean size. In Fig. 4 we show theoretical increases in percentage aggregated cells as a function of mean particle size, for cases where aggregates are formed only as doublets, triplets, quadruplets, or groups of 10. If we isolate stickiness calculations to cases whose size and NND distribution fall below the doublet line of the Fig. 4 as shown, we can be confident that the assumptions behind the stickiness calculation are met.

All stickiness calculations were made using the slope from regressions of \( \ln \) (cell diameter, \( \mu \)m) versus time (s). The slope of the regression was plugged into the above equation for \( a \). We have indicated the statistical strength of the regression coefficient (as \( R^2 \)) from each experiment.

**Experimental design.** Duplicate 12 \textnormal{1} batch cultures (a and b) of the marine diatom *Chaetoceros neogracile* were inoculated into F/2 medium and grown on a 14:10 h day-night cycle at 100 \( \mu \)mol photons m\(^{-2}\) s\(^{-1}\). Day 0 of the experiment was on December 4 (hereafter referred to as 12/4), when cells were in early logarithmic growth. Initial nutrient concentrations were such that when growth slowed (between 12/10 and 12/12), silica was limiting in the medium (See Waite et al. 1995 for more detail) The experiment was terminated on 12/16, about 4 d into stationary phase. Every second day, 1 l of each culture was taken for stickiness measurements, placed in a rotating Couette chamber at a mean shear of 5 \( S^\circ \) and exposed to this shear continuously for 2 h. Video measurements were made continuously for the first 45 min of each experiment. At 0, 15, 30, 45, 60, and 120 min after the beginning of each
RESULTS AND DISCUSSION

In our experiment, the cultures of Chaetoceros neogracile grew rapidly in logarithmic growth beginning on Day 0 (12/4), and continued until growth became limited by the availability of silicate in the medium between Days 6 and 8 (12/10 to 12/12). Image analysis of particle size distribution during flocculator experiments executed every second day yielded particle size data of very high temporal resolution (Fig. 5) allowing us to calculate $\alpha$ from the slope of the size versus time relationships (Table 1). Generally the increase in mean size was only $2\mu m$ over 45 min of exposure to a shear of $5 s^{-1}$ within the flocculator (Fig. 5), so actual values of $\alpha$ were low (ca 0.01; Table 1). However, the high sampling frequency of the new video method yielded a high statistical confidence that these small size increases were real, with highly significant p values below 0.0001 (Table 1). Comparing calculated values of $\alpha$ using videography with those using electronic particle counting indicated that the videography was superior in both sampling frequency (and hence statistical confidence slope estimate) and consistency of data. In addition, there were several new features of the behavior of cells within the flocculator which emerged from our study (see below).

There are several special considerations when making photographic measurements of particle size. Especially if aggregates are porous, it is important to consider the difference between the volume of cells making up the aggregate and the total aggregate volume itself when making size calculations (Jackson et al. 1995). To make an accurate estimate of the stickiness coefficient $\alpha$ which represents the probability
that 2 colliding cells will adhere, we are initially not concerned with the larger issues of fractal dimension or porosity of the resulting aggregates. If possible we wish to work with volume-conserved measurements of singlet cells forming doublets. One strength of videography in this regard is that we can actually resolve the singlets and doublets we are interested in, and need not average over a larger aggregate volume for \( \alpha \) calculations.

Though most experiments started with a mean particle diameter in the culture of 8 \( \mu m \), some seemed to have a larger initial mean particle diameter (9 to 10 \( \mu m \)), suggesting cells were aggregated before the experiment started. In our study, data from 12/8 (culture a) and 12/14 (culture a) might be questionable for this reason.

Because of the assumption of constant volume fraction in alpha calculations (Kiorboe et al. 1990, Jackson & Lochmann 1993), the short initial period when particle sizes are small and shapes are simple probably represents the most accurate estimate of \( \alpha \) (O'Melia & Tiller

<table>
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Fig. 5. *Chaetoceros neogracile*. Particle diameter vs time plots for individual aggregation experiments on cultures grown in batch cultures over 12 d. Equivalent spherical diameter is calculated as cross-sectional area using image analysis from high-resolution video recordings of phytoplankton cultures (see text for details). Solid lines are significant linear regressions (order 1) of diameter vs time over 45 min. Slopes shown as dashed lines are significant regressions of diameter vs time over the first 10 min of the experiment. Note bimodal curves in later experiments. In all graphs, 'a' and 'b' each designate one of the 2 replicate cultures.
1993). This is also the period in which we are most likely to measure the aggregation of singlets to doublets (see 12/16a data in Fig. 4). Nearest neighbor distance calculations (NNDs) allowed us to assess whether the experiments we ran actually conformed to the assumption that singlets are colliding to form doublets. In the Expt 12/16a for example, the proportion of total cells in aggregates (defined as NND < 30 μm) increased from about 5% at the beginning of the experiment to about 10% at 10 min into the experiment. After about 10 min into the experiment, mean particle size is higher than would be possible if only doublets were present. Plotting several points from Expt 12/16a on Fig. 4 suggests that aggregates in this culture actually contained groups of 3 to 10 cells after 10 min, meaning that they do not satisfy the assumptions of the stickiness equation after the first 10 min of rotation within the flocculator.

Furthermore, when in stationary phase (Days 8 to 12, 12/12 to 12/16), equivalent spherical diameter often increased rapidly over the first 10 min after which the increase continued at a slower rate (Fig. 5). For this reason we have indicated 2 slopes, the 10 min initial slope, and the slope over the whole time period. In some cases where the data showed an inflection point, the 10 min slope had a much higher R² value than the total regression (dashed lines, Fig. 5). For these reasons, we conclude that the first 10 min of flocculator experiments probably do generate the best data for stickiness estimates. Of the 8 significant relationships we generated, 7 slopes showed a higher R² value for the 10 min slope, mostly by 2- to 3-fold (Table 1).

This slowing of the aggregation rate over time may be of interest also in that large aggregates dominate late in the experiments (e.g. Fig. 2B), and the dynamics of larger aggregates are very different to that of single cells (Jackson & Lochmann 1993, Johnson et al. 1996).

We speculate that we are actually seeing the emergence of another population of larger porous particles in the latter part of the time series, which behaves differently to the original population.

Calculated stickiness (α) was zero at the beginning of the experiment (12/4) since there was no statistically significant slope in the regression of size versus time (p > 0.05; Table 1, Fig. 5). Stickiness increased generally over time in batch culture although there was substantial variability (Fig. 6). This result differs from the results generated using the particle counting method, which indicated no measurable stickiness in the same experiments (Table 1). Stickiness also covaried both with cell-surface sugar accumulation as measured in the same cultures using lectin binding, and with the accumulation of transparent exopolymer particles (TEP) in the medium of the cultures (Waite et al. 1995).

Increases in stickiness over time in batch culture, if they reflect real changes in diatom populations that occur in the field, have significant implications for the dynamics of diatom blooms. Model results suggest that an increase in stickiness can accelerate bloom sedimentation via aggregate formation (Jackson & Lochmann 1992, 1993), which can in turn increase vertical carbon fluxes (Allerdige & Gotschalk 1989) and cause changes in ecosystem structure in highly productive ecosystems (Hansen et al. 1995). Sudden inputs of carbon to the sea floor can also cause significant and rapid changes in benthic communities (Blair et al. 1996, Goedkoop & Johnson 1996). Preliminary field work suggests we will be able to determine the extent to which patterns seen in the lab are actually reflected in the field.

The videos taken in the field indicated that the imaging system could easily resolve chains of diatom cells, as well as individual diatom cells within the chains (Fig 7). The images were clear enough to identify the genus and in some cases the species of diatom present. However, because the particle size of interest in the field populations was substantially larger than the solitary species we tested in the laboratory, the small FOV occasionally did not encompass an entire aggregated particle. A larger FOV in which video fields were roughly 10 × 14 mm, could encompass entire aggregates of Chaetoceras neograclle, formed in the flocculator (Fig. 8).

One of the most interesting observations we made was the appearance of both ball-type aggregates and net-like aggregates in the same cultures. In fact it was difficult to predict which sort of aggregate would be the primary form, though preliminary observations suggest that in cultures that have been grown to extremely high cell densities, ball-like aggregates predominate. One hypothesis might be that the long
Fig. 7. Images of field populations suspended in the flocculator made with high resolution video (field of view is 800 x 400 μm). (A) Two adhering cells of *Thalassiosira* sp. (B) Chain of 4 cells of *Thalassiosira anguste-lineata* (C) Chain of *Asterionella glacialis*. (D) Cell of medium-sized *Chaetoceros* sp. (diam. ca 12.5 μm) with 3 adhering cells of smaller *Chaetoceros socialis* (6 μm). (E) Large cluster of *C. socialis*. (F) Chain of many cells of *Chaetoceros compressum*. Note distinctive terminal spines. In all images, particles outside of the plane of focus (ca 20 μm in depth) appear fuzzy or indistinct.
spines of C. neogracile break off, or spine formation is incomplete, and cell adhesion thus occurs directly between individual cell surfaces. The extreme regularity of the spacing between cells (e.g. Fig. 2) seems to suggest the presence of spines between them. Another possibility is that TEP accumulating in the medium tend to adhere to cell surface and act to separate the cells. More likely perhaps is a combination of both the latter mechanisms, since TEP concentration increases over time in batch culture, while the dominance of net-like aggregates occurs in more dilute cultures. This suggests that cell morphology might be extremely important in determining aggregate structure.

It is likely that spine formation, cell-surface bound sugars, and TEP all played a role in determining aggregate structure, with surface sugars and TEP the most likely candidates driving stickiness changes in these experiments. All these features can hinge on the biology of the cell. Recent work further suggests that cell adhesion can be mediated even more directly by the cell, via enzyme production (Waite et al. 1997) It will therefore be important to consider the physiological features of cells within aggregates when assessing the relationships between particle mass and particle size for the approximation and prediction of particle size spectra (Jackson et al. 1995, Jonasz & Fournier 1996).

These results also have implications for the prediction of sedimentation rates. It is clear that knowledge of aggregate structure can be critical in estimating settling rates of porous aggregates (Johnson et al. 1996, Li & Logan 1997). Good measurements of such features will therefore be important in understanding the processes controlling carbon fluxes, especially in ecosystems where sedimentation rates are driven largely by aggregation (Riebesell 1989, 1991, Aldredge et al. 1995).

Unlike the results found in dense mesocosm and culture experiments (Smith et al. 1992, 1995), scanning electron micrography (SEM) of our log phase cultures of Chaetoceros neogracile indicates there was very little bacterial colonization of cell surfaces, though there was the occasional bacterium on cell spines (Fig. 3). This was obvious from SEMs even though bacteria would have been concentrated 10- to 100-fold on the filters. The potential role of bacteria in modification of diatom adhesion (especially in ball-shaped aggregates) must still be considered uncertain. Especially in nature, where cell concentrations are orders of magnitude lower than in culture experiments, the role of bacteria is still highly debatable.

CONCLUSIONS

The addition of videographic particle sizing and particle structure observations to flocculator experiments has increased our temporal resolution in the experiments, and yielded measurements of diatom stickiness we believe are superior to previous estimates, because they are made non-invasively while the experiment is ongoing. Videographic measurements also record a suite of ancillary data of interest to those studying particle dynamics, including individual cell morphology, aggregate structure and porosity, and the movement of particles within an imposed shear. We suggest that biological features such as cell spines and cell-surface sugar accumulation may have a significant impact on aggregate structure, and hence on aggregate dynamics and fluxes. The small field of view (FOV) of our system can resolve individual cells less than 5 μm in diameter, ideal for detailed measurements on laboratory cultures of solitary phytoplankton species in this lower size range. Larger FOV systems will be more suitable to the coastal ocean blooms where mean particle size is substantially larger.

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