Sex change and female dimorphism in *Calanus finmarchicus*

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ABSTRACT. Adult females were examined from November until spring in northern Norway (70° N) to determine the fraction with the quadrithek and the fraction with the trihek setal pattern on the antennule. The quadrithek (Q) pattern is a male characteristic in which odd numbered, proximal segments carry 2 aesthetascs and 2 setae; the trihek (T) pattern has 1 aesthetasc on all antennular segments. The frequency of quadrithek females (%Q = 100 × [Q/T]) varied with season. It peaked at 38% in February, declining to 25% in March. Higher proportions appeared early, consistently between years, i.e. higher proportions were found among the first females emerging from the stock of resting copepodids. In light of the hypothesis of Fleminger (1985; Mar Biol 88:273-294) that females with the quadrithek pattern result from sex switching by genetic males, we tested whether %Q in newly moulted females could be altered by separate exposure of the maturing CVs to adult males or females. The experiments were run with specimens from Grøtsund during the last 3 wk prior to moulting of CVs in the field. Of females not exposed to adults as CVs, 35% had quadrithek patterns, while those exposed to adult males or females produced 33 and 37% quadritheks respectively. In these experiments, presence of adult *Calanus finmarchicus* (Gunnerus) did not influence the proportion of females with male characteristics. No evidence for sex specific pheromones was found and therefore the stimulus for sex change was not revealed. A possible influence of the environment on *C. finmarchicus* sex determination (ESD) is suggested and discussed.

KEY WORDS: Sex change · Dimorphism · Copepods · Environmental sex determination (ESD)

INTRODUCTION

Fleminger (1985) described female dimorphism as a phenomenon occurring in most populations of copepods in the family Calanidae. The dimorph female, 'quadrithek', expresses the male phenotype in the number and arrangement of aesthetascs on the antennules, in contrast to the 'normal' trihek females, while the rest of the characteristics are female in all respects. Based on this described female dimorphism, Fleminger postulated a sex change, suggesting that quadrithek females originate from the largest genotypic CV males, in which gonad develops as an ovary.

There must be an adaptive value for the quadrithek female that compensates for the cost of changing sex, and both timing and correlation between fecundity and body size seem to be important in this respect. As a result of the males emerging first from diapause, there will be a short period with an excess of males. Males with the opportunity to change sex could then gain an advantage if their offspring are in the majority at the end of the breeding season (regardless of generations). On the other hand, when there is an excess of females in the population, it is an advantage to be a male during reproduction, since males will have the opportunity to contribute genetically to the eggs of many females. Males changing sex under this circumstance would only gain the advantage of having a larger size than average females. Larger size results because male CVs are larger than female CVs, while switching they increase by the greater CVI/CV ratio that operates for females. In this paper we demonstrate that there are 2 significantly different size-groups of females, supporting the theory that 1 of these could be sex-switched males.

After a detailed analysis of female dimorphism, Fleminger postulated 3 working hypotheses: (1) that the sex determination in calanid copepods is mainly but not exclusively under genetic control; (2) that envir-
Environmental factors can induce sex change from CV potential males to functional females; and (3) that the formation of secondary sexual characteristics is a response to hormones (i.e. 'androgenic-like secretions from the genital tract'), which probably is related to the gonads or gonaducts, a process believed to resemble the sexual morphogenesis found in Malacostraca (Charniaux-Cotton 1960, 1962).

The study we report was intended to test Fleminger's hypothesis that quadrithek females are sex-switched males. Our main objective was simply to check whether sex change occurs in *Calanus finmarchicus* (Gunnerus) or not. Work included a field study comparing frequencies of quadrithek females over a latitudinal range, and a simple manipulative experiment. The experiment tested the possibility that the sex at maturation after the CV resting phase could depend upon the sex of individuals already matured around them and emitting signals of their presence and gender. We assumed those signals would be pheromones and designed the experiment accordingly. The test individuals were sampled from a population in a North Norwegian fjord, since the annual generation cycle found here facilitates a distinct separation in time between the various reproductive and developmental phases.

**MATERIALS AND METHODS**

**Field work.** The first test was based on 2 November to April time-series collected in 2 successive years (1993/1994 and 1994/1995) from Grøtøya, Northern Norway (Fig. 1). The sampling station is located northeast of Tromsø at 69° 47' N, 19° 13' E, where the Norwegian coastal current protects Grøtøya from the strong influence of Atlantic waters, although the coastal water can be influenced by several adjacent sounds. The mean depth is about 100 m, and the samples were collected in the deepest region of the sound at approximately 200 m. The monthly sampling frequency varied from 1 to 4, and the intention was to collect most frequently in January/February, during the most intensive differentiation period. The samples were collected with a WP-2 net with 180 μm meshes and a closing mechanism for discrete samples from 200–100 and 100–0 m. On deck the copepods were immediately fixed to a final concentration of 4% formaldehyde in seawater buffered with hexamine.

The copepodite stages, from Cl to adults, were counted to get a picture of the population dynamics in the period sampled. From each sample we separated 50 *Calanus finmarchicus* females for prosome measurements and trithek/quadrithek identification. The prosome length was measured using an ocular micrometer with a Wild M5 stereomicroscope at 25x magnification, and the antennules were cut off as close to the cephalothorax as possible. Since mainly the proximal segments were of interest, broken antennules could also be analysed. The antennules were immediately placed on a micro slide with a 1 mm depression and a droplet of filtered seawater. The antennules were identified with a Leitz Labourlux 11 phase contrast microscope as trithek or quadrithek according to definitions and drawings by Fleminger (1985).

**The experiment.** One hypothesis from Fleminger (1985) proposed that copepod sex change may be under environmental control. We therefore wanted to test experimentally whether the presence of males or females could induce sex change in *Calanus finmarchicus* (by influence of pheromones). The experiment was designed to allow CVs to moult both in the presence and absence of adult *C. finmarchicus*, and then to count the sex ratio and percentage of quadrithek females.

The experiment consisted of fifteen 10 l beakers which were divided into 3 randomised treatment groups, with males (M), with females (F) and 1 control group (C) with only CVs (Table 1). The treatment groups (1 group per 50 CVs) consisted of 5 males or females in separate glass cylinders submerged in the 10 l beakers, with both openings covered.

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**Fig. 1.** (a) Overview and (b) detailed map showing sampling site in Grøtøya, Norway.
with plankton net. These copepods were caught in Grøtsund weekly as stage CV over a period of 6 wk, and forced to moult to adult males and females in the laboratory within approximately 10 d as illustrated in Fig. 2. This rapid moulting was made possible both by raising the temperature in the lab from 4°C (as experienced in situ) to 7°C, and by incubation in continuous light (Grigg & Bardwell 1982, Miller & Grigg 1991) of 8.3 μmol cm⁻² s⁻¹. The rest of the CVs were caught 1 d prior to the start of the experiment, and immediately transferred to the experimental beakers. Because it is believed that food is not necessary to complete maturation (Miller & Grigg 1991), none of the individuals were fed during the experiment. To ensure that pheromones from the treatment animals came into contact with the CVs, the glass cylinders were moved up and down once a day. The experimental beakers were covered with black plastic to prevent the influence of strong light from the light sources in the room, which did not exceed 8.3 μmol cm⁻² s⁻¹. The average temperature in the beakers was 4°C. To avoid contamination, it was necessary to completely change the water in the 15 beakers 3 times during the experimental period. No increase in mortality was observed as a result of this procedure.

Every second day the water quality was examined visually, and in case of mortality the treatment groups were replaced. CV copepods that died during the experimental period were removed from the beakers and fixed with formaldehyde, but not replaced. In the last week of the experiment, the copepods experienced a daylength of approximately 3 h, equivalent to that found in surface waters at Grøtsund (at that time of the year, i.e. 0.56 μmol cm⁻² s⁻¹).

After 1 mo the experiment was terminated, at a time when all the beakers contained a majority of moulted copepods. We made this decision in order to avoid any impact of moulted CV as treatment animals on the still unmoulted copepods. The copepodid stages, sex ratio and trithek/quadrithek females were recorded for each beaker. The statistical tests were carried out after standard procedures as described in Zar (1984).

RESULTS

Population dynamics

The standing stock of Calanus finmarchicus differed between the 2 winters investigated (Fig. 3). The stages CI, CII and CIII were, generally, modestly represented in both years, and CIV represented a rather constant proportion of the resting stock. When the number of CV dropped, the number of adult individuals increased. The abundance of males increased from late January onwards, while the females attained maximum abundance in March.

Dispersion of trithek and quadrithek females

The distribution of quadrithek females was examined from November to April in 1993/1994 and 1994/1995 in Grøtsund. A Pearson chi-squared test revealed no significant difference in frequency of quadrithek females between the 2 depth intervals sampled ($\chi^2 < \chi^2_{0.05, 1} = 3.84$), and the data are therefore merged.

The frequency of quadrithek females (%Q) followed roughly the same pattern in the 2 years, although monthly variations did occur. A log-linear model (Zar 1984) was utilised to describe the observed pattern of quadritheks (Fig. 4). The model shows that the observed pattern of quadritheks among months is best described as a function of season ($\chi^2_5 < \chi^2_{0.05, 5} = 11.07$). A maximum frequency around 40% was found in February.
Fig. 3. *Calanus finmarchicus*. Population abundance and seasonal progression of instars (a) from November 1993 to April 1994 and (b) from November 1994 to April 1995. Note different scales on the y-axes.

**Prosome length**

The prosome length was measured for all females from each sample. Quadrithek females were significantly larger than the tritheks in both depths and periods (Fig 5). The trithek females from 1994/1995 in the depth range 200–100 m were also significantly larger than the tritheks from 0–100 m depth.

**Survival and sex ratio in the experiment**

The antennules of females, both dead and alive, from the experiment were analysed as previously described. The 15 experimental beakers showed no statistically significant trend ($\chi^2 < \chi^2_{0.05, 1} = 3.84$) between the treatment groups ’male’, ’female’ or ’control’, with overall percentages of 33, 35 and 37% quadritheks, respectively (Fig. 6). The sex ratio of adults (males, tritheks and quadritheks) did not seem to be influenced by the different treatments, and the mean sex ratio for all the beakers was 14% males, 55% tritheks and 31% quadritheks. It should be noted that the males and quadrithek females constituted 45% of the total number of adult individuals.

The survival in each of the 15 beakers is presented in Table 2. A total of 50% of the individuals moulted from CVs to adults, while 34% did not. Thirteen percent died as CVs before the experiment was terminated, and approximately 2% of the initial CVs were lost or could not be identified as male, female or CV, and were placed in a special category (unidentified/lost). This relatively high mortality rate in the experiment was mainly caused by an extreme mortality of unmoulted CVs, −67%, in beaker no. 1 (male treatment). This was probably due to contamination (the water became white) found in this beaker only.

**DISCUSSION**

**Population dynamics**

According to previous investigations in Northern Norway, sexual differentiation and gonad maturation take place in January and February (Tande & Hopkins 1981, Tande 1982) at locations with 1 single generation a year. This was further supported by these investigations from Grøtsund. The males entered the population approximately 1 mo prior to the females, corresponding to the knowledge that male *Calanus finmarchicus* have a shorter development period than females (Mar-
shall & Orr 1972). The peak of males was found in February, but the sex ratio was heavily biased towards females both before and after this period. The standing stock of adults was different between the 2 years, but a heterogeneous distribution in the water column may be caused by biological and hydrodynamical factors (Stavn 1971, Dagg 1977, Longhurst 1981, Kørboe 1988, George 1989, Giske 1990). Therefore, this may not necessarily reflect actual differences in adult population size (see also Fig. 3).

Prosome length

In Grøtsund the quadritheks had significantly larger prosomes than the tritheks. Corresponding measurements from Georges Bank (USA) also revealed a general tendency for quadrithek females to be larger, although this was only significant in 4 out of 6 mo (C. Miller pers. comm.). Fleminger (1985), however, found no relation between prosome length and dimorphism in *Calanus pacificus californicus*. It has been demonstrated that CV males about to develop testes are larger than CV females with unripe ovaries (Grigg et al. 1981, 1985, Miller et al. 1991), and that there is a substantial growth increment from CV to adult for females but not for males. Ghiselin (1969, 1974) formulated a 'size-advantage model', claiming that 'if a small or young animal can reproduce more effectively as a member of one sex, while a large or older animal can

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Table 2: *Calanus finmarchicus*. Distribution of adult females, males, alive and dead CVs and unidentified individuals in each experimental beaker. The number of females and males includes both the living animals at the end of the experiment and the ones that died before the termination. Treatment M, F or C indicates treatment with males, females or only control. Note the low survival in beaker 1.
males and quadritheks co-occurred in February. During the sexual differentiation period, CVs to rest will be genetically normal females. Peaks of life cycle the genotypic sex is determined, it could returned to rest in May or June the preceding spring. (Fig. 7). Although we do not know at which stage in the phases during the period of reproduction in low percentage of quadrithek females. In November and December is most likely due to individuals that can largely be explained as a function of season. The formation, Georges Bank, the Inland Sea of Japan, Grutsund and the Greenland Sea. It seems as if the fraction of quadritheks increases with increasing latitude and the Greenland Sea. It is obvious that within the same genus C. finmarchicus, where C. finmarchicus may have 2 or 3 generations yr⁻¹, 16 and 6% of quadrithek females has been reported for the first and second generation, respectively. (J. Crain & C. Miller unpublished data). Further south, in the Inland Sea of Japan, a population of C. sinicus was found to consist of only 4 to 6% quadrithek females (Uye unpublished), and Fleminger (1985) found the proportion of C. sinicus to vary from 11 to 7%. The ovary development and fecundity of the female are expected to vary directly with the size or volume of the prosome (McLaren 1963), but the amount of food available can also affect fecundity (Paffenhofer 1970, Marshall & Orr 1972, Paffenhofer & Harris 1976, Heinle et al. 1977, Gatten et al. 1980). Protandric sex change in marine invertebrates is thus thought to be tightly linked to the dependence of female fecundity and body size, given a random mating system (Petraitis 1990). Ghiselin’s model has been appraised for sex change in fish (Warner 1988), but if it is also relevant for Calanus finmarchicus, it will be an advantage for CV males to change sex, because they probably will end up larger than average females.

Distribution of quadrithek females

Significant differences in quadrithek abundance between the 2 time series in Grutsund were only found in November and December, and according to a log-linear model, the observed dynamics of quadritheks can largely be explained as a function of season. The low percentage of quadrithek females in November and December is most likely due to individuals that returned to rest in May or June the preceding spring. At that time, almost all females young enough to return to rest will be genetically normal females. Peaks of males and quadrithek females co-occurred in February, which could result from both males and quadrithek females maturing at the same time in the resting stock. The decrease in the fraction of quadritheks in March could result from depletion of the stock of genetic male CVs supplying new quadrithek females, coupled with increasing maturation rates of genetic female CVs as trithek females.

Compared to other locations, the population of Calanus finmarchicus in Northern Norway had a high proportion of quadrithek females. Around Georges Bank (-41° N), where C. finmarchicus may have 2 or 3 generations yr⁻¹, 16 and 6% of quadrithek females has been reported for the first and second generation, respectively. (J. Crain & C. Miller unpublished data). Further south, in the Inland Sea of Japan, a population of C. sinicus was found to consist of only 4 to 6% quadrithek females (Uye unpublished), and Fleminger (1985) found the proportion of C. pacificus californicus quadritheks to vary from 11 to 7%. C. sinicus (Huang et al. 1993) have a continuous reproduction cycle and do not go into diapause, whereas a proportion of C. pacificus californicus does rest at these latitudes. However, in C. finmarchicus from the Greenland Sea, with a supposed annual generation (McLelland 1967, Hirche 1991), a proportion of 38% quadritheks was found in March, similar to observations in North Norwegian fjords.

It is obvious that within the same genus (Calanus) the same phenomenon (female dimorphism) occurs in different proportions in various habitats (Point Conception, Georges Bank, the Inland Sea of Japan, Grutsund and the Greenland Sea). It seems as if the fraction of quadritheks increases with increasing latitude (Table 3), i.e., that the dimorphism is more frequent at locations where the species have 1 generation a year compared to habitats with several generations. In order to state the case more concisely, we have outlined a conceptual model for some of the observed phases during the period of reproduction in Calanus (Fig. 7). Although we do not know at which stage in the life cycle the genotypic sex is determined, it could occur within the time period from embryo until well into CV. During the sexual differentiation period, CVs

<table>
<thead>
<tr>
<th>Locality</th>
<th>Lat</th>
<th>Species</th>
<th>Gen.</th>
<th>Jan</th>
<th>Feb</th>
<th>Mar</th>
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<th>May</th>
<th>Source</th>
</tr>
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<tbody>
<tr>
<td>Inland Sea of Japan</td>
<td>34°N</td>
<td>C. sinicus</td>
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<td>4</td>
<td>7</td>
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<tr>
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<td>C. pacificus californicus</td>
<td>Cont.</td>
<td>11</td>
<td>11</td>
<td>10</td>
<td>7</td>
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<td>Fleminger (1985)</td>
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<tr>
<td>Grutsund (1994)</td>
<td>68°N</td>
<td>C. finmarchicus</td>
<td>1</td>
<td>23</td>
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<td>28</td>
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<tr>
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Table 3. Calanus sp. Percentage distribution (in whole numbers) of quadrithek females in the Inland Sea of Japan (N = 3082), at Point Conception (USA) (N = 1085), and in Grutsund (Norway) (N = 2266) and the Greenland Sea (N = 171). Lat.: latitude; Gen.: number of generations.
proceed rapidly through a short period as phenotypical males and females. This has been described in detail by, for instance, Tande & Hopkins (1981). We believe that a signal to form the male aesthetasc pattern must exist, and that the quadrithek pattern is a result of this signal occurring before sex differentiation. However, we do not know whether this potential signal can occur later than the 'signal' for sex differentiation, resulting in an ordinary trithek pattern on the antennules (C. Miller pers. comm.). The present study substantiates the following 2 pieces of knowledge: firstly, there are indications for a sex change where genetic males turn into quadritheks females, and secondly, the magnitude of this process varies latitudinally (i.e. inversely related to the number of generations produced).

Based on the results above, we can ask the following question: Why are different life history parameters correlated to latitude in calanoid copepods? This phenomenon is, to our knowledge, not previously described for calanoid copepods, but a similar case has been reported for the amphipod *Gammarus dubeini* (Watt & Adams 1993a, b, 1994). The authors found a broad correlation between latitude (and breeding seasonality) and the strength of the environmental sex determination (ESD) response across a series of population studies. In this case, it is believed that sex determination is correlated to photoperiod, and is probably an adaptive response to seasonal population dynamics (Watt & Adams 1993a, b, 1994). To some extent, this is also the case for the protandric hermaphrodite *Pandalus borealis*. Generally, there is a tendency for shrimp populations to show an increased lifespan and lower growth rate at higher latitudes (Charnov 1982), and Rasmussen (1953, 1969) was the first to show that the life history variables of *P. borealis* changed with latitude in Northern Europe (58 to 78° N). He showed that the time spent as a male (i.e. the time before the sex change) increased from >1 to fully 2 yr, and explains this not as a function of photoperiod, but of temperature.

A different mechanism of sex change is found in the polychaete *Capitella capitata* (species type I) (Petraitis 1990). The natural population contains males, females and hermaphrodites, where a heterogametic system (genotype ZW) always expresses females, and a homogametic system (genotype ZZ) expresses labile determination of genders. Homogametic individuals (ZZ) are initially males when raised en masse, but can become hermaphrodites if females are rare. It is suggested that mate competition and the strong correlation between fecundity and body size are major forces for the evolution of protandry in marine invertebrates. The cost of changing sex from male to female (or hermaphrodite) is in the case of *C. capitata* balanced by the increased reproductive success caused by an enlarged body size (Petraitis 1990); this could also be the case for other marine invertebrates such as *Calanus*.

It has previously been suggested that sex change in *Calanus* is influenced by demographic factors related to the life history strategy of the specific species (Conover 1988). We suggest that the different proportions of sex changing individuals (quadritheks) in northern and southern waters are a reflection of different life history strategies directly or indirectly caused by the number of generations the species is allowed to complete in its habitat. The 'award' to the sex changing individuals is a higher reproductive output (they are few and larger than the genetic females) during a narrow time window when males are found to be in majority.

**Sex ratio in the experiment**

Within sexually breeding populations, both parents have identical contributions to the next generation (Fisher 1930, Williams 1974), and due to natural selection the expected sex ratio is 1.00 or 50% males and females. Therefore, the sex ratio in copepods is ex-

![Fig. 7. Conceptual model for some of the observed reproductive phases in *Calanus*. There are uncertainties connected to the period of sex determination, as it could occur within the time period from embryo until well into CV. The 'signal to form the male pattern of aesthetasc' is hypothetical and could in theory occur both before and after the initiation of a sex change. Symbol: 1 indicates that the sex change frequency varies between habitats](image-url)
Does environmental sex determination (ESD) occur in Calanus?

ESD is defined as a mechanism where the zygote develops as a male or a female mainly as a consequence of environmental conditions (Bull 1983). The first accepted case of ESD was discovered in the marine echiurid worm Bonellia viridis (Balzter 1912), where almost all larvae develop as females when settled in isolation, and develop as males when exposed to females. Later ESD was reported in several groups of animals (for examples see Bull 1983, 1987). The opposite of ESD, genetic sex determination (GSD) is usually connected to heterogamy where the sex of the zygote is determined independently of the environment. However, a partial capacity for ESD has been observed in several GSD systems (Bull 1983).

Conclusions drawn both from field and experimental studies indicate that the environment may influence sex determination in copepods (Cattley 1948, Takeda 1950, Egami 1951, Mednikov 1961, Igarashi 1964a, b, Monakov 1965, Vaquier & Belser 1965, Katona 1970, Grigg et al. 1981, 1985, Hopkins 1982). These authors have suggested factors like nutrition, temperature, pressure, population density and parasitism as possible inducers. For crustacean in general, it is also supposed that factors like daylength (Naylor et al. 1988a, b, Dunn et al. 1993, Watt 1994, Watt & Adams 1994), pH, carbon dioxide, UV light, metabolic products and exposure to the opposite sex (Korperlainen 1990, Kawasaki 1995) can influence the sex determination.

Is it realistic to suppose that ESD occurs in Calanus finmarchicus? And when is ESD expected instead of GSD? Selection for ESD is expected when the offspring enters an environment with a patchy distribution of resources (with respect to local mate competition, resources and predator abundance) and where one sex has an advantage. Sex determination late in the life cycle is also expected if parents and offspring do not control or choose their future environment (Charnov & Bull 1977, Bull 1983). In the search for understanding how individual sex is determined in C. finmarchicus, the possible influence of the environment should not be overlooked.

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