

Growth rate-dependent recruitment of Japanese anchovy *Engraulis japonicus* in the Kuroshio–Oyashio transitional waters

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ABSTRACT: Based on the relationship between otolith and somatic growth rates, we examined the effect of growth and developmental rates on survival during larval and early juvenile stages in Japanese anchovy *Engraulis japonicus* collected in the Kuroshio–Oyashio transition region in the western North Pacific. Mean daily otolith increment width (IW) positively correlated with mean growth and developmental rates in rearing experiments. In the Kuroshio–Oyashio transition region, faster-growing larvae with larger IWs attained completion of metamorphosis at younger ages than slower-growing larvae. The minimum IWs in the recruited adults collected in 1999 greatly increased from 4.8 μm at 40 d after hatching to 11.0 μm at 60 d, while the minimum IWs in the pre-recruits collected in 1998 was less than 5.0 μm at 60 d, and accounted for ca. 60% of the total pre-recruit population. Based on the positive relationship between IWs and growth rates in the rearing experiments, an IW of 11.0 μm is estimated to correspond to 0.41 mm d^{-1} in growth rate. It was concluded that pre-recruits with growth rates $<0.41 \text{ mm d}^{-1}$ at 60 d have a negligible probability of survival to recruitment. Mortality dependent on growth and developmental rates occurred in the metamorphosing stage of *E. japonicus* in the Kuroshio–Oyashio transition region. Since environmental conditions in the transition region are variable, and these conditions affect growth and developmental rates of larvae, the rates in the metamorphosing stage could determine the abundance of recruited 1 yr old *E. japonicus* in the transition region.

KEY WORDS: Growth rate · Survival · Otolith increment width · Metamorphosis · Japanese anchovy

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INTRODUCTION

Survival processes during the early life stages in fishes have been studied in order to understand recruitment dynamics since Hjort (1914) postulated the 'critical period' hypothesis, which stated that food limitation during a short period after the yolk absorption affects the subsequent survival rate and eventual recruitment. Anderson (1988) proposed the 'growth–mortality' hypothesis that the bigger larvae of a faster growth rate in a population metamorphose from the larval to the juvenile stage at a younger age, and have a higher probability of surviving to recruitment. The concept of the 'growth–mortality' hypothesis has been supported in

many fishes after rearing experiments and field studies were carried out. Bigger larvae have been shown to have a higher tolerance to starvation and a higher ability to escape from predators than smaller larvae in rearing experiments (Miller et al. 1988, Baily & Houde 1989). In field studies, faster-growing larvae survived better than slower-growing larvae until the juvenile stage (Meekan & Fortier 1996, Hare & Cowen 1997). In highly fecund marine fish, growth rates during the larval and juvenile stages can, therefore, be an important factor regulating the survival rate in early life stages and subsequent recruitment success/failure.

The finding that growth increment in fish otolith is deposited on a daily basis (Pannella 1971) laid the foun-

dition for early life-history studies of fishes on a daily basis. In the Japanese anchovy *Engraulis japonicus*, seasonal and geographical variability in larval growth rates have been reported in the spawning and nursery grounds in Japanese coastal waters (Tsuji 1983, Sigel 1988, Mitani 1990). It is, however, often difficult to count and measure all the daily growth increments in adult otoliths, since there are many areas where indistinct increments are deposited. The widths of otolith daily increments during the larval stage have been shown to be correlated with the somatic daily growth and metabolic rates in many fishes (Wright 1991, Moksness et al. 1995, Paperno et al. 1997). Comparison of the growth trajectory based on the otolith increment widths between recruited survivors and the original population can, therefore, be used to evaluate the growth requirements during the early life stages for recruitment success.

The distribution of *Engraulis japonicus* eggs expanded from the Pacific coastal waters off central Japan to the offshore Kuroshio axis with the increase in population size in the early 1990s (Zenitani & Asano 1996). Most of eggs and larvae were found to have been transported eastward by the Kuroshio Current from the coastal spawning ground to the Kuroshio Extension (Kasai et al. 1992, Heath et al. 1998), and into the Kuroshio–Oyashio transition region by the northward intrusion of warm water from the Kuroshio Extension (Okazaki et al. 2002). The main nursery and feeding grounds were concluded to shift from the coastal waters to the eastern offshore waters off northern Japan with the increase in population size. Larval, juvenile and adult *E. japonicus* were distributed in the eastern offshore waters off northern Japan, the Kuroshio–Oyashio transition region, in the 1950s and 1990s when the population sizes were large (Odate 1957, Takahashi et al. 2001). The distribution range of the Japanese sardine *Sardinops melanostictus* have also been shown to expand during periods of large population size to the eastern offshore waters in the transition region and subarctic waters (Kuroda 1991). The abundance of recruits at Age 1 in these small pelagic fishes during the period when population size is large could therefore be dependent on the growth and survival processes in the young-of-the-year stage in the Kuroshio–Oyashio transition region.

The Kuroshio–Oyashio transition region has irregularly distributed eddies and complex thermohaline fronts between the Kuroshio Extension and the subarctic Oyashio fronts (Kawai 1972). The average biomass of copepods is greater in the northern part of the transi-

tion region dominated by cold-water species than in the southern part dominated by warm-water species (Odate 1994). Growth and developmental rates of larval *Engraulis japonicus* were faster in the SW waters than in the northern or eastern waters in the transition region (Takahashi 2001). In the spatially and temporally variable environments in the transition region, the probability of an *E. japonicus* larva surviving to recruitment will be variable, depending on the environmental conditions in which it occurs.

The objective of this study was to evaluate the consequences of growth- and developmental rate variability during early life stages on the recruitment success of *Engraulis japonicus* in the Kuroshio–Oyashio transition region, by comparing the growth history during the larval stage between pre-recruits (larvae and early juveniles) and recruits (adults). We reared *E. japonicus* from the late larval to early juvenile stage through metamorphosis under different conditions of water temperature and feeding. We used the results from the rearing experiments to interpret the data from the field study in order to determine the suitable environmental conditions for recruitment success during the early life stages in the Kuroshio–Oyashio transition region.

MATERIALS AND METHODS

Field study. Sample processing: *Engraulis japonicus* were collected by subsurface trawls in the Kuroshio–Oyashio transition region aboard R/V 'Tanshu-Maru' of the National Research Institute of Fisheries Science, from mid-May to mid-June in 1998 and 1999 (Table 1, Fig. 1). The net had a square opening of 25 × 25 m and a cod end with a 1.0 cm-mesh aperture. Three trawl hauls a night were made with the bottom of the net opening at depths shallower than 25 m. Sub-samples of 200 to 300 larvae and early juveniles, and ca. 100 adults, were sorted out randomly from each trawl catch and preserved at –25°C.

Table 1. *Engraulis japonicus*. Collection data of pre-recruits in 1998 and recruits in 1999 in the Kuroshio–Oyashio transition region

Area	Pre-recruits			Recruits		
	No. of hauls	No. of catch Min.	Max.	No. of hauls	No. of catch Min.	Max.
140–145° E	2	0	140 000	4	490	8000
145–150° E	8	0	420 000	12	20	7700
150–155° E	6	40	440 000	10	10	6700
155–160° E	9	10	130 000	14	320	19 000
160–165° E	5	500	140 000	4	0	0
165–170° E	7	0	51 000			
170–175° E	7	0	95 000			
175–180° E	8	0	10			

Random samples up to 100 larvae and early juveniles and 100 adults were taken from the subsamples of each station for the analysis of growth. Standard lengths (SL) were measured to the nearest 0.1 mm with digital calipers. Each larval and early juvenile *Engraulis japonicus* was assigned to 1 of the 3 developmental stages based on the degree of guanine deposition on the peritoneal and trunk surface; Gu-0 for fish at the early metamorphosing stage from larva to juvenile with no guanine deposition, Gu-1 for fish at the end of the metamorphosing stage with guanine deposition on the peritoneal surface but not on the trunk surface, and Gu-2 for juveniles with guanine deposition on the peritoneal and trunk surface (Takahashi & Watanabe in press). Takahashi & Watanabe (in press) showed that SL of the Gu-1 stage fish ranged from 26 to 47 mm SL, with an average of 34 mm SL. The smallest possible SL of sexual maturation was ca. 100 mm in *E. japonicus* in the Kuroshio–Oyashio transition region (Takahashi et al. 2001, Funamoto & Aoki 2002). We defined late larval and early juvenile *E. japonicus* < 50 mm SL as 'pre-recruits' and *E. japonicus* > 100 mm SL as 'recruits' that survived through the larval and juvenile stages up to recruit to the adult population in this study.

The number of pre-recruits and recruits collected in each trawl haul were enumerated by the gravimetric method based on wet weight and fish counts in subsamples. Sagittal otoliths were removed from up to 50 random specimens out of the 100 individuals for both the pre-recruits and recruits in each station, cleaned under a binocular dissecting microscope, and mounted on a glass slide with enamel resin of fingernail polish medium. Otoliths of the Gu-2 stage pre-recruits and

the recruits were ground with 2000-grit sandpaper and polished with 10 000-grit lapping film in the sagittal plane until the core was clearly visible.

Otolith measurement and growth analysis: Up to 10 otoliths of pre-recruits of the Gu-0, Gu-1, Gu-2 stages and of recruits were randomly taken from 50 specimens of each trawl station. Enumeration of the growth increments and measurement of increment widths (IW) were conducted along a postrostrum transect of each otolith under a light microscope at 100× to 500× magnification with the otolith measurement system (RATOC System Engineering) controlled using a personal computer. Total number of otoliths examined in this study were 561 for pre-recruits and 90 for recruits.

As metamorphosis starts at ca. 20 mm SL and is completed in the Gu-1 stage (Takahashi & Watanabe in press), the mean larval growth rate (G) from hatch to catch was calculated for fish at the Gu-1 stage as:

$$G = (L - 2.7) / d_{\text{catch}}$$

The mean growth rate in the metamorphosing period (G_m), from age at back-calculated SL of 20 mm to catch, was calculated for fish at the Gu-1 stage:

$$G_m = (L - 20) / (d_{\text{catch}} - d_{20\text{mm}})$$

where L is the SL at catch, 2.7 is the SL at hatch (Fukuhara 1983), d_{catch} and $d_{20\text{mm}}$ are ages at catch and at 20 mm SL, respectively. We determined the allometric parameters of the relationship between SL and otolith radius for each Gu-1 stage fish by using the biological intercept method (Campana 1990, Campana & Jones 1992), and back-calculated SL at ages following the method detailed in Watanabe & Kuroki (1997). SL

at the first feeding stage (first daily increment deposition) was reported to be 3.76 ± 0.29 mm SL (Fukuhara 1983). We defined SL at the first daily increment deposition as 3.5 mm within this range in this study.

Comparison of growth history between pre-recruits and recruits: We could not back-calculate the larval growth rate of adult *Engraulis japonicus* because the otolith radius–SL relationship changes from an allometric relationship in the larval stage to a linear relationship after metamorphosis to juvenile, and it was not possible to determine the SL at the flexion point from allometric to linear phases of the relationship. IWs were positively correlated with growth rates during larval stage (see 'Results'). IW in recruits increased with age and reached a maximum at ca. 50 d after hatching and then decreased with age, while in pre-recruits IW increased with age up to capture (see 'Results'). IW in the central area of the otolith from the first

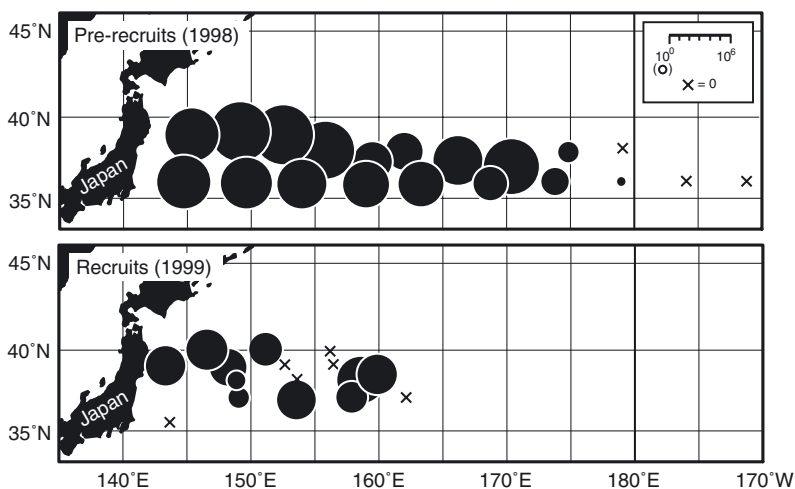


Fig. 1. *Engraulis japonicus*. Distribution of the pre-recruits and the recruits collected in 1998 and 1999, respectively, in the Kuroshio–Oyashio transition region in the western North Pacific. ●: sum of estimated number of *E. japonicus* caught by the 3 trawl hauls a night (scales on top panel). x: no collection of pre-recruits or recruits

increment to the maximum IW in the recruits was considered to represent the growth history from hatch to the end of metamorphosis. We compared the growth histories between the pre-recruits and the recruits in terms of IW in the larval and metamorphosing stage.

Rearing experiment. Larval *Engraulis japonicus* were caught with a boat seine net (43 × 3 m) of 1.0 cm mesh in the Nebama Beach in Otsuchi Bay in northern Japan, carefully scooped with seawater in a 20 l bucket, and immediately transported to the Otsuchi Marine Research Center of the Ocean Research Institute, University of Tokyo, on 14 July 1999 and on 18 July 2000. A sample of 100 larvae was preserved at –30°C to measure SL and determine the developmental stages based on the degree of guanine deposition at the start of rearing experiment in each year. Out of the 100 individuals, 50 specimens were selected and aged using the number of otolith increments.

Rearing conditions: The larvae were stocked under conditions of ambient water temperature (18.2 to 19.4°C) and photoperiod with food (*Artemia* fed daily in excess) in 3 black tanks (200 l) for 2 to 3 d after capture. About 25 larvae were transferred to each of black experimental tanks (30 l) at a density of 0.7 to 0.9 ind. l⁻¹, and reared for 20 d. We considered the first half of the 20 d rearing period as an acclimatization period to the experimental conditions, and the second half as the experimental period. The larvae were reared under 12 experimental conditions: 3 water temperatures (13, 17, 21°C) by 4 feeding regimes (0, 30, 300, 3000 *Artemia* nauplii fish⁻¹ d⁻¹). Experiments of the 13°C group were conducted in 1999 and those of the 17 and 21°C groups in 2000. The larvae consumed all nauplii supplied in a day in each experimental condition, except for the 13°C and 3000 nauplii fish⁻¹ d⁻¹ group. About 20% of the water in each 30 l tank was replaced every day by water filtered through a 20 µm-mesh net. In order to prevent disturbance to the larvae, rearing water was mildly circulated by means of water flow produced by aeration in an L-shaped PVC pipe. *A. salina* cysts were incubated in strongly aerated seawater at 28°C for 24 h. Newly hatched *Artemia* nauplii were enriched with the DHA-rich Aquaran (BASF Japan) at 22°C for 20 h. The experimental fish were fed with the enriched *Artemia* nauplii 3 times a day to make up the total amount of food mentioned above. The 20 d reared larvae were sampled from 08:00 to 12:00 h in 1999 and 2000.

Otolith/somatic growth analysis: Reared larvae were preserved at –30°C for growth and developmental analysis. The SL of each fish was measured to the nearest 0.1 mm with digital calipers and was macroscopically assigned to 1 of the 3 guanine deposition stages. Otolith processing and measurement followed the procedures used in the field study. As there was a lagged response of ca. 1 wk in otolith growth rate to the changes in environmental conditions (Secor et al. 1989, Moksness et al. 1995), we considered that mean IWs deposited during the second half of the 20 d rearing period (IW_{exp}) represented otolith growth under the experimental conditions and those deposited in the 10 d period before the experiment as the initial otolith growth before the experiment (IW_{ini}), and calculated for each fish:

$$IW_{\text{exp}} = (R_{\text{last}} - R_{\text{last-10}}) / 10$$

$$IW_{\text{ini}} = (R_{\text{last-20}} - R_{\text{last-30}}) / 10$$

where R_{last} , $R_{\text{last-10}}$, $R_{\text{last-20}}$ and $R_{\text{last-30}}$ are the radii from the otolith nucleus to the last increments at the margin, and the last 10, 20, and 30 increments before the last increment, respectively.

Mean growth rate during the second half of the 20 d rearing period (G_{exp}) was calculated as:

$$G_{\text{exp}} = (L_{\text{last}} - L_{\text{last-10}}) / 10$$

where L_{last} and $L_{\text{last-10}}$ are back-calculated SLs at the last increment deposition and that of the last 10 increments before the last increment following the method in the field study, respectively. Daily growth increments (discontinuous zone) in an otolith are formed at dawn in many fishes (Mugiya et al. 1981, Tanaka et al. 1981). In order to eliminate the effects of sampling time

Table 2. *Engraulis japonicus*. Mean standard length (SL) and growth rates in the entire larval stage (G) and in the metamorphosing period (G_m) of the Gu-1 stage (see 'Sample processing' for details) pre-recruits in 4 age classes (Cl₃₅...Cl₆₅; see 'Otolith and somatic growth of the Gu-1 stage pre-recruits' for details. ns: not significant

Age class	N	Mean	SD	Level of significance (%)			
				Cl ₃₅	Cl ₄₅	Cl ₅₅	Cl ₆₅
SL (mm)	Cl ₃₅	18	31.1	1.73			
	Cl ₄₅	25	32.8	3.14	ns		
	Cl ₅₅	100	33.1	2.82	0.01	ns	
	Cl ₆₅	19	36.9	3.76	0.01	0.01	0.01
G (mm d ⁻¹)	Cl ₃₅	18	0.83	0.07			
	Cl ₄₅	25	0.63	0.07	0.01		
	Cl ₅₅	100	0.55	0.06	0.01	0.01	
	Cl ₆₅	19	0.54	0.05	0.01	0.01	ns
G_m (mm d ⁻¹)	Cl ₃₅	18	0.98	0.11			
	Cl ₄₅	25	0.68	0.11	0.01		
	Cl ₅₅	100	0.60	0.08	0.01	0.01	
	Cl ₆₅	19	0.53	0.06	0.01	0.01	0.01

on otolith radius, we defined the time of the last increment deposition as the standardized end of the experimental period.

RESULTS

Field study

Distribution of *Engraulis japonicus* in the Kuroshio–Oyashio transition region

The maximum number of pre-recruits collected in a trawl haul ranged from 130 000 to 440 000, and was ca. 10- to 70-fold greater than that of recruits in the waters from 35 to 40°N, 140 to 160°E (Table 1, Fig. 1). The pre-recruits ranged from 17.5 to 49.7 mm SL (mean \pm SD: 32.7 ± 5.9 mm SL) and 27 to 86 d after hatching (51.9 ± 11.5 d). The recruits ranged from 103.7 to 139.7 mm SL (120.2 ± 7.0 mm). Otolith increments in the recruits became indistinct after ca. 80 d, so we used data from 1st to ca. 80th increment for the recruits.

Otolith and somatic growth of the Gu-1 stage pre-recruits

Pre-recruits in the Gu-0, Gu-1 and Gu-2 stages were classified into 7 age classes of 10 d intervals: 21–30 d (Cl_{25}), 31–40 d (Cl_{35}), ..., 81–90 d (Cl_{85}). More than 80% of pre-recruits were assigned to the Gu-0 stage in Cl_{25}

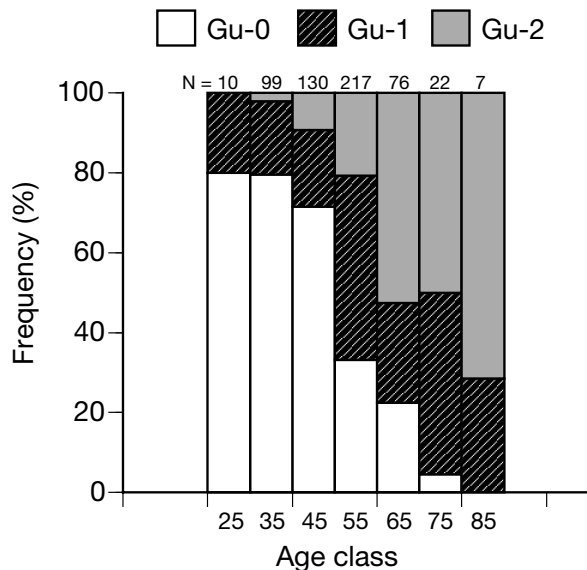


Fig. 2. *Engraulis japonicus*. Proportion in number of the pre-recruits in the Gu-0, Gu-1 and Gu-2 stages (see 'Sample processing' for details) in each age class (see 'Otolith and somatic growth of the Gu-1 stage pre-recruits' for details)

and Cl_{35} . The proportion of the Gu-0 stage fish sharply decreased in Cl_{55} and older age classes (Fig. 2). Less than 20% of pre-recruits were assigned to the Gu-2 stage in Cl_{55} and younger age classes. The proportion of the Gu-2 stage fish sharply increased in Cl_{65} and older age classes. The number of pre-recruits in Cl_{25} , Cl_{75} and Cl_{85} were less than 10 and were excluded from further analysis.

Age of the Gu-1 stage fish ranged from 29 to 83 d (54.2 ± 10.2 d). SL of the Gu-1 stage fish ranged from 26.4 to 47.0 mm (34.0 ± 3.9 mm). Mean growth rates through the larval stage (G) and in the metamorphosing period (G_m) of the Gu-1 stage fish were significantly faster in the younger age classes than in the older classes (Table 2). The mean IW of the Gu-1 stage fish in Cl_{35} increased from 3.53 ± 0.81 μ m at 5 d to 13.8 ± 2.60 μ m at 30 d (Fig. 3). The mean IW in the Cl_{65} gradually increased from 2.88 ± 0.49 μ m at 5 d to

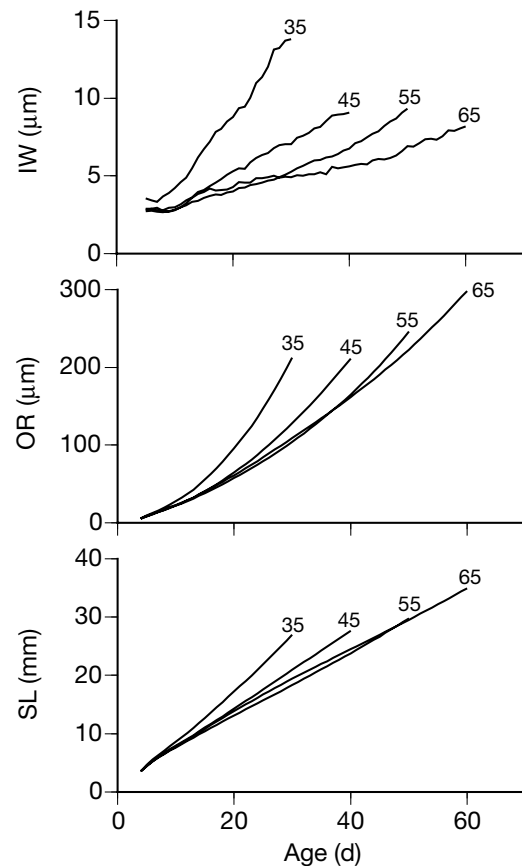


Fig. 3. *Engraulis japonicus*. Changes in the mean increment width (IW) (top), mean otolith radius (OR) from the otolith nucleus to the increment (middle) and mean back-calculated standard length (SL) (bottom) with age in the Gu-1 stage pre-recruits (see 'Sample processing' for details) in the 4 age classes (indicated by numbers at top of lines; see 'Otolith and somatic growth of the Gu-1 stage pre-recruits' for age-class details)

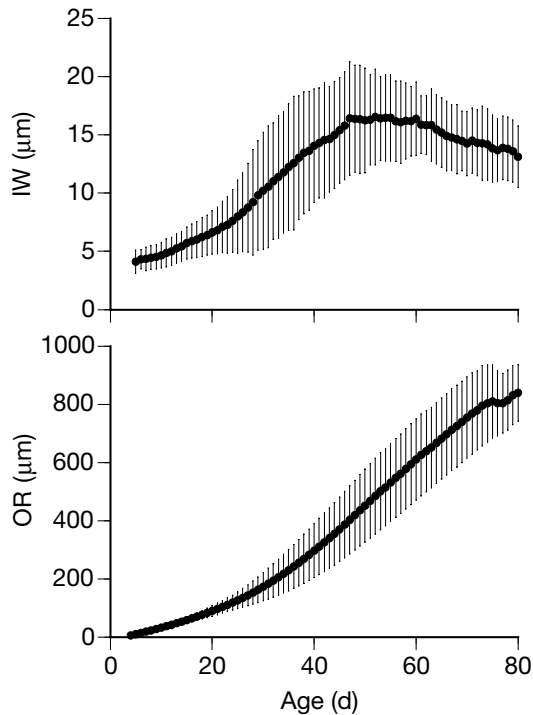


Fig. 4. *Engraulis japonicus*. Changes in the mean increment width (IW) (top) and mean otolith radius (OR) from the otolith nucleus to the increment (middle) with age in the recruits. Vertical bars indicate \pm SD

$4.91 \pm 0.93 \mu\text{m}$ at 30 d. Mean otolith radius (OR) from the otolith nucleus to the increment deposited at 30 d in Cl_{35} ($213 \pm 32.5 \mu\text{m}$) was 2-fold greater than in Cl_{65} ($109 \pm 15.2 \mu\text{m}$). Mean back-calculated SL at 30 d was $27.0 \pm 2.63 \text{ mm}$ in Cl_{35} , significantly larger than that in Cl_{65} (19.4 ± 2.08).

Larval growth history of pre-recruits and recruits of *Engraulis japonicus*

Mean IW of the recruits increased from $3.93 \pm 1.29 \mu\text{m}$ at 5 d to $16.2 \pm 4.48 \mu\text{m}$ at 50 d and gradually decreased to $13.0 \pm 2.65 \mu\text{m}$ at 80 d (Fig. 4). Ages at the peak of IW ranged from 31 to 79 d, and averaged to $52.6 \pm 10.5 \text{ d}$ in the 90 recruits examined. Mean OR at 30 d in the recruits was $173 \pm 48.1 \mu\text{m}$, and was significantly larger than those in Cl_{45} , Cl_{55} and Cl_{65} of the Gu-1 stage pre-recruits, but smaller than that in Cl_{35} .

Frequency distribution of IW were compared between pre-recruits in the Gu-0, Gu-1, Gu-2 stages and recruits at 30, 40, 50, and 60 d (Fig. 5). The mode of IW in the recruits was 6 to $8 \mu\text{m}$ and was comparable to that in the Gu-0 stage pre-recruits at 30 d. Modes of IW in the recruits sharply increased to 14 to $16 \mu\text{m}$ at 50 d, and were larger than those in the Gu-0 and Gu-1 pre-recruits at 40 d and 50 d, respectively. Mode of IW in the recruits at 60 d was larger than that in the

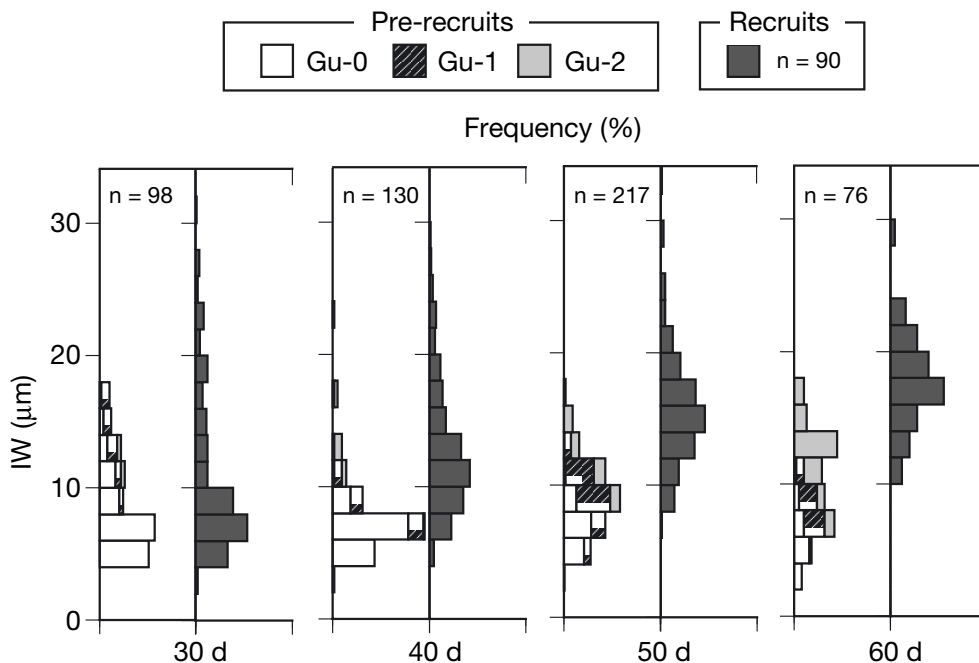


Fig. 5. *Engraulis japonicus*. Frequency distribution of increment width (IW) in the recruits at 30, 40, 50 and 60 d and pre-recruits at 30 d in the Cl_{35} age class, and at 60 d in the Cl_{65} age class (see 'Otolith and somatic growth of the Gu-1 stage pre-recruits' for age-class details). Range of frequency is from 0 to 50 %

Gu-0, Gu-1 and Gu-2 pre-recruits. The proportion in total number of the pre-recruits within the IW range of recruits was 100% at 30 d in the Cl_{35} , which greatly decreased to 41.3% at 60 d in the Cl_{65} (Table 3). The proportion in stage-specific number of the pre-recruits within the IW range of recruits was 100.0% in the Gu-0, Gu-1, and Gu-2 stages at 30 d, respectively, while 0.0% in the Gu-0, 10.5% in the Gu-1 and 72.5% in the Gu-2 stage pre-recruits at 60 d.

Rearing experiment

SLs of the late larval *Engraulis japonicus* used for the rearing experiments ranged from 18.9 to 27.0 mm (22.0 ± 1.94) in 1999 and from 22.1 to 33.9 mm (28.7 ± 3.43) in 2000, respectively. Mean ages at the

Table 3. *Engraulis japonicus*. Otolith increment width at ages in the Gu-0, Gu-1 and Gu-2 stage pre-recruits (see 'Sample processing' for details) and recruits. Percentages of the pre-recruits within the increment width (IW) ranges of the recruits are calculated. For age-class definitions, see 'Otolith and somatic growth of the Gu-1 stage pre-recruits'

Age	Range of IW (mm)					% of pre-recruits within the IW range of recruits Total	% of pre-recruits within the IW range of recruits Stage		
	Pre-recruits			Recruits	Gu-0		Gu-1	Gu-2	
	Age class	Gu-0	Gu-1						Gu-2
30 d	Cl ₃₅	4.1–16.2	9.7–17.2	10.1–12.6	3.5–30.5	100.0	100.0	100.0	100.0
40 d	Cl ₄₅	3.9–11.9	6.6–16.5	7.9–22.1	4.8–29.7	93.8	91.4	100.0	100.0
50 d	Cl ₅₅	3.2–13.2	4.6–13.6	8.0–17.4	7.7–33.0	64.1	30.1	84.0	100.0
60 d	Cl ₆₅	2.5–11.0	5.9–11.2	6.6–17.5	11.0–29.5	41.3	0.0	10.5	72.5

start of the 20 d rearing period were 47.8 ± 7.62 d in 1999 and 64.2 ± 14.2 d in 2000. In terms of the degree of guanine deposition, all the larvae in 1999 were assigned to the Gu-0 stage, while 96% of the larvae

were assigned to the Gu-0 stage and 4% to the Gu-1 stage in 2000.

Survival rate at the end of the 20 d rearing period ranged from 72 to 96% in the 17°C group, highest in all the temperature groups (Table 4). In the 21°C group, survival rates were 96% in the 3000 nauplii d⁻¹ fish⁻¹ group, and 56% in the 30 nauplii d⁻¹ fish⁻¹ group. In the 13°C group, survival rates were lower than 50% in all the feeding groups.

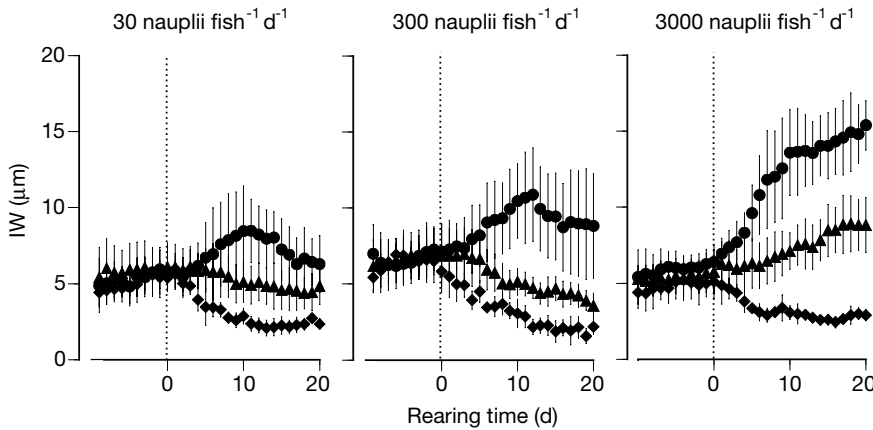


Fig. 6. *Engraulis japonicus*. Changes in increment width (IW) during the rearing period in the 30, 300 and 3000 nauplii fish⁻¹ d⁻¹ groups. Circles, triangles and diamonds indicate the 21, 17 and 13°C groups, respectively. Experiments start from the dashed lines at Day 0

Table 4. *Engraulis japonicus*. Survival rate, mean increment width (IW; mean \pm SD) before (IW_{ini}) and during the second half (IW_{exp}) of the 20 d rearing period. N_{start}: number at start of rearing; N_{fin}: number at end of rearing

Temp. (°C)	Food level (nauplii fish ⁻¹ d ⁻¹)	Survival			IW _{ini}	IW _{exp}
		N _{start}	N _{fin}	%		
13	0	20	0	0.0		
	30	19	2	10.5	4.9 \pm 1.2	
	300	20	3	15.0	6.1 \pm 1.6	
	3000	19	9	47.4	4.9 \pm 0.8	2.8 \pm 0.8
17	0	25	18	72.0		
	30	25	19	76.0	5.8 \pm 1.6	4.7 \pm 1.2
	300	25	22	88.0	6.5 \pm 1.5	4.5 \pm 0.6
	3000	25	24	96.0	5.6 \pm 1.1	8.5 \pm 1.5
21	0	25	10	40.0		
	30	25	14	56.0	5.4 \pm 1.1	4.5 \pm 1.7
	300	25	18	72.0	6.4 \pm 1.6	9.7 \pm 2.7
	3000	24	23	95.8	6.3 \pm 0.8	14.6 \pm 1.8

IW at ages

Mean IW deposited in the 10 d period before the rearing experiment (IW_{ini}) ranged from 4.9 to 6.5 μ m, and was not significantly different among the 3 feeding \times 3 temperature groups (Table 4, Fig. 6). Among the 3000 nauplii fish⁻¹ d⁻¹ feeding groups, mean IWs deposited during the second half of the 20 d rearing period (IW_{exp}) were 14.6 ± 1.8 μ m in the 21°C group, while in the 13°C group IW_{exp} was 2.8 ± 0.8 μ m. Among the 17°C temperature groups, IW_{exp} was 8.5 ± 1.5 μ m with 3000 nauplii fish⁻¹ d⁻¹, while in the 30 and 300 nauplii fish⁻¹ d⁻¹ groups, IW_{exp} were 4.7 ± 1.2 μ m and 4.5 ± 0.6 μ m, respectively. The ratio in number of survived fish with IW_{exp} > 11 μ m, which was the minimum IW at 60 d in the recruits in the field study, was 100% in the 21°C and 3000 nauplii fish⁻¹ d⁻¹ group, and was higher in the groups of higher water temperature and of greater food level. As IWs in the non-fed feeding groups (0 nauplii fish⁻¹ d⁻¹) became indistinct in the marginal area of otoliths, we excluded them from the analysis.

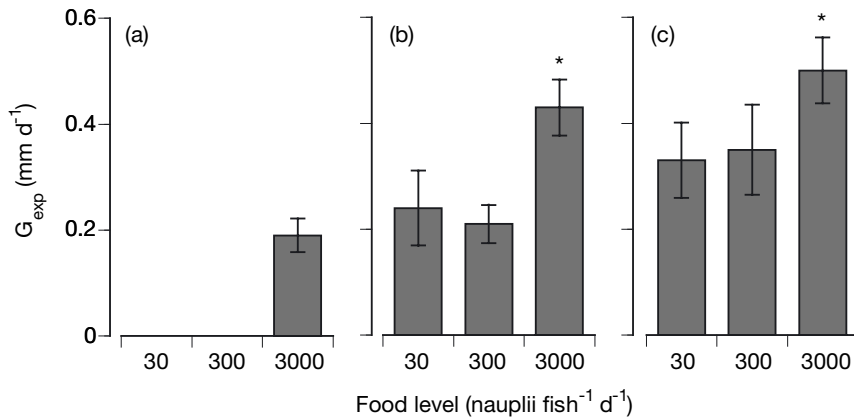


Fig. 7. *Engraulis japonicus*. Mean growth rates of the 3 feeding groups during the second half of the 20 d rearing period (G_{exp}) in the 3 temperature groups: (a) 13, (b) 17 and (c) 21°C. Asterisks indicate the feeding groups with significantly faster G_{exp} among each temperature groups (see 'Otolith/somatic growth analysis' for details)

Mean growth rate during the experimental period

Mean growth rates during the second half of the 20 d rearing period (G_{exp}) in the tanks with 3000 nauplii fish⁻¹ d⁻¹ were 0.43 ± 0.05 mm d⁻¹ at 17°C and 0.50 ± 0.06 mm d⁻¹ at 21°C, which were significantly faster than in the tanks with 30 and 300 nauplii fish⁻¹ d⁻¹ in the respective temperature groups (Fig. 7). G_{exp} ranged from 0.13 to 0.60 mm d⁻¹, which was positively correlated with the mean IW during the second half of the rearing period (IW_{exp}), and was best fitted by a single linear regression ($G_{exp} = 0.0261 IW_{exp} + 0.127$; $N = 89$, $R^2 = 0.742$, $p < 0.0001$) (Fig. 8).

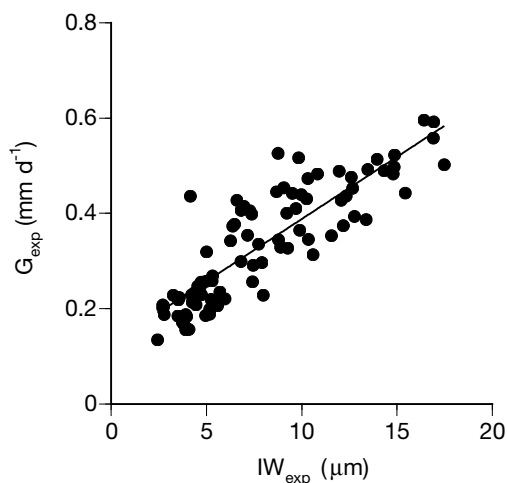


Fig. 8. *Engraulis japonicus*. Relationships between the mean growth rate (G_{exp}) and the mean increment width (IW_{exp}) during the second half of the 20 d rearing period (see 'Otolith/somatic growth analysis' for details). Dots represent individual fish

Morphological development

The stage composition of survived fish at the end of the 20 d rearing period were calculated in each experimental condition, and the ratios of Gu-1 and Gu-2 in 2000 was reduced by 4% considering the variation in developmental stage at the start of the rearing experiments (Fig. 9). In the 3000 nauplii fish⁻¹ d⁻¹ feeding groups, 29.2 and 69.6% of survived fish developed to the Gu-2 stage at 17 and 21°C, respectively. In the 13°C and 3000 nauplii fish⁻¹ d⁻¹ group, on the other hand, 95% of survived fish remained in the Gu-0 stage. The ratio of Gu-0 stage fish increased with the decrease in food level in the 17 and 21°C temperature groups. The number of fish that survived in the 13 and 13°C, and 30 and 300 nauplii fish⁻¹ d⁻¹ groups, respectively, were less than 5, and were excluded from the analysis.

DISCUSSION

Otolith IWs, somatic growth, and metamorphosis

The mean IW during the second half of the 20 d rearing period (IW_{exp}) was linearly positively correlated with the mean growth rate during the second half of the rearing period (G_{exp}) (Fig. 8). Larvae with a faster G_{exp} metamorphosed from the larval (Gu-0) to the juvenile (Gu-2) stage in a higher proportion during the 20 d rearing period than those with a slower G_{exp} (Figs. 7 & 9). The larvae with a faster growth rate and shorter metamorphosing period were found to have larger IWs during late larval stage in *Engraulis japonicus* (Fig. 8). We found the same relationship among growth rates, metamorphosing period, and otolith IW in our field study; that is, the faster-growing Gu-1 stage pre-recruits in the younger age classes (Cl_{35} , Cl_{45}) had larger IWs than the slower-growing pre-recruits in the older age classes (Table 2, Fig. 3). The Gu-1 stage fish of younger ages had a shorter duration of metamorphosis than those of an older age (Takahashi 2001). Wright (1991) demonstrated that IW was positively correlated to the mean daily oxygen consumption in Atlantic salmon *Salmo salar* L. The IW in larval Atlantic herring *Clupea harengus* reflected the RNA:DNA ratio as an index of the nutritional condition in a rearing experiment (Folkvord et al. 1996). These findings suggest that IW positively correlates with the metabolic rate and energy intake, resulting in the

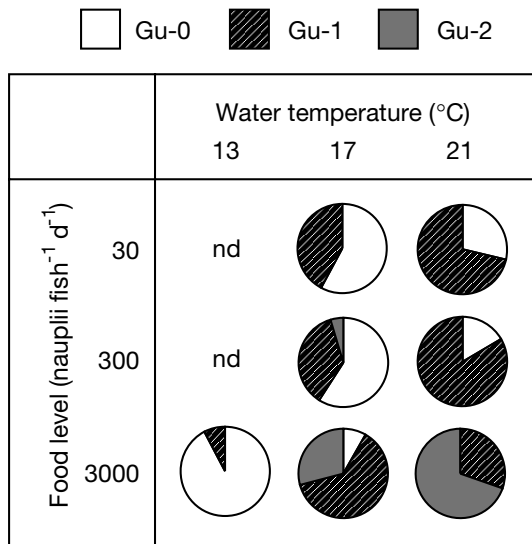


Fig. 9. *Engraulis japonicus*. Proportions in the number of surviving fish in the Gu-0, Gu-1 and Gu-2 stages (see 'Sample processing' for details) at the end of the 20 d rearing. nd: no data

growth and developmental rates during the larval stage in *E. japonicus*.

The mean IW in the recruits increased up to ca. 16 μm and then gradually decreased (Fig. 4), while the Gu-1 stage pre-recruits had no obvious peak of IW in any age class (Fig. 3). The mean age at the peak of IW (53 d) in the recruits was comparable to the mean age at the Gu-1 stage (54.2 ± 10.2 d). Juvenile northern anchovy *Engraulis mordax* also have been shown to have a peak of IW at 80 d and ca. 40 mm SL (Butler 1989). These data approximately correspond to SL and age at metamorphosis which occurs at about 35 mm SL and 70 d (Hunter 1976). Ohshimo et al. (1997) found that IW in juvenile Japanese sardine *Sardinops melanostictus* peaked at ca. 30 d, and suggested that this was associated with metamorphosis. In clupeoid fish, the age at the peak of IW appears to correspond to the end of the metamorphosing (Gu-1) stage from larva to juvenile. The relationship between body length and otolith radius changed from allometric in the larval stage to linear in the juvenile stage in *E. mordax* (Butler 1987), *Clupea harengus* (Moksness & Fossum 1992), *S. melanostictus* (Watanabe & Kuroki 1997) and *E. japonicus* (Takahashi et al. 2001). As the otolith radius is a sum of IW at ages, the inflection point of the body length–otolith radius relationship seems to coincide with the age at maximum IW in clupeoid fishes.

Based on the mesh retention theory for anchovy larvae (Smith & Richardson 1977), larvae with absolute mesh retention are those larger than the diagonal of a given mesh aperture. The diagonal of the mesh at the

cod end of the trawl net in this study was 14 mm, which corresponds to the body depth at the pectoral fin of 80 mm SL adult anchovy. Maximum SL of the Gu-1 stage anchovy in this study was 47.0 mm, and therefore, all the size classes had the probability to be extruded through the mesh aperture. We do not know the difference of the probability by SL size classes of anchovy, but the SL range of Gu-1 stage fish in this study was much smaller than the SL of absolute retention (80 mm). Therefore, the effects of mesh size-selectivity on the current results, if any exist, are assumed to be minimal. We collected a lot of pre-recruit anchovies by the trawl net despite the presence of mesh extrusion in this study. Wild pre-recruit anchovy seemed to proceed from Gu-0 to Gu-2 stage with SL growth, as we observed in the rearing experiment.

Recruits in 1999 were survivors of the 1998 hatched cohort

The main spawning season of *Engraulis japonicus* extended from April to August from 1990 to 1995, when the population size was large (Zenitani et al. 1995). The mean age of the pre-recruits collected from mid-May to mid-June in this study was ca. 50 d. They hatched predominantly in April early in the main spawning season. Cohorts hatched in April grew up to ca. 12 cm in 12 mo in the 1950s, when the population size was large (Hayashi & Kondo 1957).

The frequency distribution of IW in the recruits was similar to that in the pre-recruits at 30 d (Fig. 5). Considering that the otolith IW is a positive function of somatic growth and development as discussed above, the recruits collected in 1999 may have experienced growth and developmental processes in the early larval stage the same as those in the pre-recruits collected in 1998. Based on these reasons, we assumed in this study that the recruits caught in 1999 were the survivors of the pre-recruits that hatched in spring in the previous year in the Kuroshio–Oyashio transition region.

Growth and developmental rate-dependent mortality in the metamorphosing stage

The minimum IW at each age in the recruits of *Engraulis japonicus* represents the slowest possible growth rate of larvae for successful recruitment to the adult population. It increased from 4.8 μm at 40 d to 11.0 μm at 60 d (Table 3, Fig. 5). Using the relationship of IW_{exp} to G_{exp} in the rearing experiments (Fig. 8), the slowest possible growth rate for survival is calculated to be 0.25 mm d⁻¹ at 40 d and 0.41 mm d⁻¹ at 60 d.

The proportion in total number of the pre-recruits within the IW range of the recruits was 100% at 30 d (Table 3). These imply that all the pre-recruits of various growth rates examined in this study had a probability of survival to recruitment up to 30 d. The proportion declined to 93.8% at 40 d, and greatly declined with age. At 60 d, 58.7% of the pre-recruits were smaller in IW than the minimum IW of the recruits, 11.0 μm (Table 3). The pre-recruits with a smaller IW than 11 μm , which were estimated to have been growing at rates smaller than 0.41 mm d^{-1} , had no probability of survival to recruitment. Growth rate-dependent survival occurred from 40 to 60 d, which fell within the age range of the Gu-1 metamorphosing stage.

The proportion in number of Gu-0 stage pre-recruits within the IW range of the recruits was 100.0% at 30 d, but this decreased to 0% at 60 d. None of the pre-recruits were able to survive if they stayed in the Gu-0 stage at 60 d. If they had advanced to the Gu-2 stage by 60 d, they had a 72.5% probability of survival to recruitment (Table 3). This indicates that the survival probability is a function of development. We considered that developmental rate-dependent mortality as well as growth rate-dependent mortality occurred in the metamorphosing stage. In this study, it was confirmed that the faster-growing *Engraulis japonicus* larvae metamorphosed at a younger age and had a higher probability of survival to recruitment in the Kuroshio–Oyashio transition region.

Metamorphosis in fishes consists of a large transformation of the internal and external morphology, which is often related to ecological and habitat changes. The number of layers in the trunk red muscle rapidly increased from 2–3 to 7–8 in the late larval stage (20 to 35 mm SL) in *Engraulis mordax* (Hunter & Coyne 1988). The ability of larval and juvenile *E. mordax* to escape from predatory juvenile chub mackerel *Scomber japonicus* sharply increased by 30 mm SL in a rearing experiment (Folkvord & Hunter 1986), resulting directly from the changes in the muscular system and the sense organs. Larval and juvenile *E. japonicus* are reported to be a preferred prey of skipjack tuna *Katsuwonus pelamis* and the mackerels *S. japonicus* and *S. australasicus* in the Kuroshio–Oyashio transition region (Nihira 1996, Watanabe et al. 1999). Delayed growth and development in a cohort will increase the vulnerability to predation in the metamorphosing period in *E. japonicus* in the Kuroshio–Oyashio transition region.

The 'critical period' hypothesis which stated that food limitation after yolk absorption (first-feeding stage) affects the subsequent survival rate was proposed in the early 20th century (Hjort 1914). After about half a century, the 'critical period' hypothesis was embodied by the 'ocean stability' hypothesis (Lasker 1975) and by the 'match/mismatch' hypothesis (Cushing 1975).

Interannual variability in the abundance of post first-feeding larvae, however, had no correlation with the abundance of the Age 1 recruit population in *Engraulis mordax* (Peterman et al. 1988), and in *Sardinops melanostictus* (Watanabe et al. 1995). In these studies, cumulative mortality through the larval and juvenile stages seemed to be responsible for determining abundance of recruits in clupeoid fishes. Our results indicate that the growth rate-dependent and the stage duration-dependent survival occurs in the metamorphosing stage in *E. japonicus*, and could explain the discrepancy of abundances between post first-feeding larvae and recruits in clupeoids.

Environmental conditions in the SW waters

The proportions in number of survived fish with IW_{exp} greater than 11 μm , which was the smallest IW in the recruits at 60 d, were highest in the 21°C and 3000 nauplii $\text{fish}^{-1} \text{d}^{-1}$ group among all the experimental conditions (Table 4). Sea surface temperature (SST) ranged from 13.4 to 19.7°C in the waters where larval and early juvenile *Engraulis japonicus* were distributed in the Kuroshio–Oyashio transition region, and was higher in the southern waters than in the northern waters in 1996 and 1997 (Takahashi et al. 2001). The mean available prey density for larval and early juvenile *E. japonicus* was 195 mg dry weight m^{-2} in the western waters (140 to 155°E) and 42 mg dry weight m^{-2} in the eastern waters (155 to 170°E) in 1998 (Takahashi 2001). Growth and developmental rates in the Gu-1 pre-recruits were faster in the SW waters than in the northern or eastern waters in the transition region (Takahashi 2001). The SW waters seem to have preferable environmental conditions for the larval growth and development and contribute to the recruitment success in *E. japonicus* in the Kuroshio–Oyashio transition region.

According to the SST in 1° grid (latitude \times longitude) data sets from April to June over the western North Pacific (Japan Meteorological Agency), SST anomalies in the SW waters (36 to 38°N, 140 to 150°E) were mainly negative in the 1980s, while positive in the 1960s through the mid-1970s and in the 1990s in the transition region. A southern intrusion of the cold Oyashio water stayed around 38°N in the 1960s and 1970s, while in the 1980s markedly extended southward in the 141 to 146°E waters to around 36°N (Kawai 1989). The total annual catch of *Engraulis japonicus* in the Pacific coastal waters was 100 000 to 250 000 t from the 1960s to mid-1970s, while it decreased to 50 000–100 000 t through the 1980s and then rapidly increased from the 1990s (Statistics and Information Department 1963–1996). The current re-

sults could explain the population fluctuation in *E. japonicus* in the Pacific stock as being due to the mechanisms which led to a cooler regime in the 1980s in the SW part of the transition region and, thus, caused a decrease in the larval growth rate and delay in metamorphosis, resulting in lower probability of survival to recruitment and a reduced total abundance of *E. japonicus*.

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