INTRODUCTION

The life cycle of the pink shrimp *Farfantepenaeus duorarum* is qualitatively understood: the adults and spawning events occur in areas E–NE of the Dry Tortugas along the SW Florida shelf, while juveniles occupy nursery grounds in Florida Bay (see Fig. 1). Postlarvae may enter Florida Bay through: (1) the tidal channels of the Lower and Middle Florida Keys (Munro et al. 1968, Criales & McGowan 1994) or (2) the NW boundary of Florida Bay (Rehrer et al. 1967, Jones et al. 1970, Criales & Lee 1995). Transport through the channels in the Middle Florida Keys has been the most widely recognized pathway because of the favorable onshore Ekman transport and coastal countercurrent flow generated by cyclonic eddies (Lee & Williams 1999, Yeung et al. 2001, Criales et al. 2003). However, recent results from an ongoing field study comparing the 2 hypothesized migration routes showed that the greater postlarval influx (>70%) occurred at the NW border of the Bay, where there was a strong seasonal pattern with a high peak of postlarvae from July through September each year (Criales et al. in press). Onshore surface currents and winds on the SW Florida shelf are weak. Winds have a seasonal pattern and a primarily alongshore (N–S) direction (Lee et al. 2001).
Densities of pink shrimp postlarvae in 3 yr of data were correlated with alongshore winds and sea-surface temperature (Criales et al. in press). However, these correlations did not explain the long cross-shelf (West to East) distance (about 150 km) that postlarvae need to traverse to reach the nursery grounds of Florida Bay.

On many parts of the shallow continental shelves, currents are dominated not by a steady flow but by oscillatory motions of the tides. Organisms inhabiting these coastal waters can control the direction of transport by using the recurring tidal currents (Shanks 1995). Because tidal currents are not unidirectional, the animals will encounter both favorable and unfavorable flow directions. However, organisms may behaviorally select currents by vertical migration (Dittel & Epifanio 1990), or by timing spawning to the lunar cycle (Christy 1982, 1986) or to the diel cycle (Queiroga et al. 1994). Invertebrates and fishes maximize horizontal movement in estuarine and coastal areas or, conversely, display retention in certain areas, by regulating their position in the water column in relation to the speed and direction of the tidal current, a mechanism known as selective tidal stream transport (STST) (see review in Forward & Tankersley 2001). A notable aspect of STST within any one species is the reversal in direction of migration at different life stages (Dall et al. 1990, Forward & Tankersley 2001), which has been observed for pink shrimp Farfantepenaeus duorarum in south Florida. Pink shrimp postlarvae use flood-tide transport to move up the estuary by positioning themselves from just above the bottom to about 0.5 m from the surface (Tabb et al. 1962, Hughes 1969a,b, Criales et al. 2000), and juveniles use ebb-tide transport to move out of the estuary by locating themselves in the upper layer (from the surface down to about 1.5 m depth) (Idyll et al. 1963, Hughes 1969b). However, the depth of occupancy of the water column varies among locations and species of penaeid shrimps (Duronslet et al. 1972). The behavioral response of pink shrimp postlarvae to the tidal currents was clearly confirmed at the border of Florida Bay with the SW Florida shelf, where about 90% of postlarvae entering the Bay were collected during the dark-flood period (Criales et al. in press). Simulations of transport using this particular STST behavior for the whole larval development period indicated that planktonic stages (larvae/postlarvae) consistently travel between 100 and 200 km in 30 d, and hypothetically 85% of postlarvae reach the nursery grounds.

In order to enhance tidal transport when day/night vertical migration is the principal behavior, 2 conditions must be present: (1) the period of the tidal component must closely match the diel period so that a particular (favorable) component phase can be maintained for many days; (2) the favorable phase (eastward current) must coincide with the dark (night) hours. By means of a simple model using sinusoidal migration and linear shear, Hill (1991a,b, 1995) quantified the influence of vertical migration, and showed that substantial net horizontal transport results when the period of the larval vertical migration and the tidal period are exact multiples or ‘phase-locked’. The semidiurnal lunar tidal constituent (M2), the most pronounced tidal constituent on the SW Florida shelf, has a periodicity of 12.42 h; however, the much weaker semidiurnal solar tidal constituent (S2) has a 12 h periodicity that matches exactly the 24 h diel migration period. Hill (1991a,b, 1995) did not consider annual seasonal changes in day length and the spring-neap tides, which may affect transport of a nocturnally or diurnally active animal. Smith & Stoner (1993), using a 2D model, included day length and the effect of turbulent diffusion in vertical migration to examine the effect of diel and tidal vertical migration schemes on the long-term net transport of larvae in 2 tidal channels dominated by semidiurnal (Exuma Cays, Bahamas) and diurnal (Aransas Pass, Texas Gulf coast) tides. They concluded that diurnal migrations under both tidal conditions (diurnal and semidiurnal) resulted in annual cycles in net transport, but a relatively small steady transport. A more recent study by Power (1997) investigated the interaction between predicted tidal current flow and the day-night cycle for 11 locations within the United States and found that seasonal periodicities differ among locations.

The present study investigated the effect of the mismatch periodicity and seasonal changes in night length on transport of pink shrimp larvae/postlarvae migrating over the SW Florida shelf and displaying day/night behavior.

**MATERIALS AND METHODS**

Time series of currents were obtained from ADCPs moored at 2 stations on the inner SW shelf of the Gulf of Mexico, about 30 km from Cape Sable (A and B: Fig. 1). This array monitored coastal currents as part of the 'Florida Bay Circulation and Exchange Study' (Lee et al. 2001). The ADCP moorings were located at depths of 6.4 m (Mooring A) and 11.6 m (Mooring B) and recorded data every 30 min for a 3 yr period (A = 21 September 1997 to 15 October 2000; B = 22 September 1997 to 17 October 2000). The 2 ADCP moorings were about 30 km apart. Lee et al. (2001) reported insignificant vertical differences between currents for cross-shelf transport in the shallow SW Florida shelf. Current vectors were resolved into cross-shelf, u (positive toward east) and alongshore, v (positive toward north) constituents. An harmonic analysis was conducted on a continuous 183 d segment of the ADCP
Criales et al.: Tidal and seasonal effects on shrimp transport
time-series raw data to define tidal constituents and
current magnitude (see Table 1). Surface currents
c. 1 m below the water surface (mean = 6 and 9.5 m
above the bottom for Moorings A and B, respectively),
and bottom currents ca. 1 m above the bottom were
used for this analysis. Surface tidal ellipses were con-
structed for the $M_2$ constituent using a clockwise
rotation.

A simple lagrangian trajectory model of transport
was developed using 3 yr ADCP time series to explore
transport mechanisms across the shelf. The model used
the observed currents at ADCP Moorings A and B to
calculate trajectories. Because only 2 ADCPs (A and B)
provided the current data for this large region, a simple
2-dimensional (horizontal) simulation model was used
in which the currents observed at A and B were used
independently, as if the current field were spatially
homogenous. No attempts were made to extrapolate to
a spatially varying current field because of the difficulty
of extrapolating vertical current profiles from one depth
and bottom relief to other conditions across the shelf.

A fundamental behavioral assumption of the model
was that larvae travel only at night. Near-surface
currents were selected for this transport analysis since
vertical differences in currents were not substantial for
cross-shelf transport (Lee et al. 2001) (see Table 1). In
the first simulation (Model 1), larvae were deployed
every 2 d at night for a 3 yr period, and the maximum
advection distance of the larvae over 30 d was cal-
culated; 30 d is the estimated development period
of pink shrimp from hatching to presettlement post-
larvae (Dobkin 1961, Ewald 1965). Alternative trans-
port simulations were developed using the different
constituents of harmonic analysis. A simulation (Model
2) was developed using the lunar semidiurnal tidal $M_2$
which was the dominant tidal constituent (see Table 1);
1 larvae was deployed every hour for a 1 yr period and
the maximum eastward distance traveled by the larvae
at night over 30 d was calculated. The amplitude of
$M_2$ (0.32 m s$^{-1}$) and the length of the night (which
varied from 13.95 h in winter to 8.95 h in summer) were
used in the simulation with the $M_2$. Another simulation

Fig. 1. Study area, showing hypothesized recruitment pathways (1 and 2) for planktonic stages of pink shrimp *Farfantepenaeus
duorarum* (adapted from Lee & Williams 1999). Spawning grounds at Dry Tortugas, nursery grounds at Florida Bay and ADCP
stations A and B on inner SW Florida shelf of the Gulf of Mexico are also shown. Inset shows main oceanographic features:
CC, Caribbean Current; LC, Loop Current; FC, Florida Current; GS, Gulf Stream
(Model 3) was developed adding the tidal constituents, solar semidiurnal $S_2$ (period of 12 h) and lunisolar diurnal $K_1$ (period of 23.93 h), using the amplitudes from the harmonic analysis and the same variations in length of the night from the previous simulation. Each simulation was run for the 3 yr period of ADCP data.

**RESULTS**

Simulations of transport with the instantaneous current for the 2 ADCP data sets for travel only at night (Model 1) indicated that larvae can reach a maximum distance of 70 km in 30 d (Fig. 2). The 15 d fluctuation in the simulated distance traveled along the 3 yr trajectory is due to the variable current magnitudes during spring-neap tides. Predicted periods of enhanced transport were not always correlated with seasons. Results from ADCP A indicated that maximum distance traveled during the first year occurred in spring–summer (May to September 1998); however, during the second and part of the third years, the maximum distance was in fall–winter (October 1999 to February 2000). Results from ADCP B showed that the maximum distances traveled were in summer (July to September), except in the third year.

Results of the harmonic analysis showed that semidiurnal tidal constituents ($M_2$, $S_2$, and $N_2$) are dominant on the SW Florida shelf (Table 1). The E–W component of the semidiurnal tidal constituent $M_2$ was 0.32 m s$^{-1}$ and explained about 95% of the total cross-shelf current variance for both data sets. The other semidiurnal and diurnal constituents have amplitudes ≤0.1 m s$^{-1}$.

The E–W amplitude of the $M_2$ constituent is several magnitudes higher than that reported for the Florida Keys region ($M_2 = 0.064$ m s$^{-1}$, Shay et al. 1998; 0.18 m s$^{-1}$, Smith 1994) but of similar magnitude to that reported for Western Florida Bay ($M_2 = 0.382$ m s$^{-1}$, Smith 2000). The near-surface amplitudes were slightly stronger than those near the bottom, and the phases of both layers were similar, indicating very little change in direction of the current with depth (Table 1). The major axes of the surface tidal ellipses from both sites were E–W oriented with E–W speeds several times greater than N–S speeds; this difference was more pronounced at Mooring B (farther offshore) than Mooring A (Fig. 3).

Displacement on the semidiurnal tidal constituent $M_2$ during 1 yr (using Model 2) indicated that the periods of maximum transport were spring, summer, and winter (Fig. 4). Maximum displacement during the

<table>
<thead>
<tr>
<th>Parameter Depth</th>
<th>$M_2$</th>
<th>$S_2$</th>
<th>$N_2$</th>
<th>$K_1$</th>
<th>$O_1$</th>
<th>$K_2$</th>
<th>$M_1$</th>
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<tr>
<td><strong>E–W component</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Phase (°) Surface</td>
<td>−84.9</td>
<td>128.8</td>
<td>120.5</td>
<td>−62.4</td>
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<td>−78.0</td>
<td>153.1</td>
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<tr>
<td></td>
<td>−86.2</td>
<td>130.5</td>
<td>122.2</td>
<td>−61.4</td>
<td>115.5</td>
<td>−82</td>
<td>147.4</td>
</tr>
<tr>
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<td>32.1</td>
<td>10.0</td>
<td>5.6</td>
<td>4.9</td>
<td>3.9</td>
<td>2.5</td>
<td>0.5</td>
</tr>
<tr>
<td></td>
<td>26.9</td>
<td>8.7</td>
<td>4.9</td>
<td>4.4</td>
<td>3.4</td>
<td>1.9</td>
<td>0.5</td>
</tr>
<tr>
<td><strong>N–S component</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Phase (°) Surface</td>
<td>−162.3</td>
<td>71.9</td>
<td>49.4</td>
<td>−146.3</td>
<td>11.9</td>
<td>−156.4</td>
<td>134.5</td>
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<tr>
<td></td>
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<td>97.5</td>
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<td>1.74</td>
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<td></td>
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<td>1.3</td>
<td>0.98</td>
<td>0.65</td>
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</table>
period of darkness in summer occurred when the lunar phase was such that particles traveled mostly eastward during the night. During winter, night length was fairly close to the semidiurnal 12.4 h period and much of the distance traveled in one direction was canceled when the current reversed, resulting in smaller travel distances in winter. The maximum eastward movement was independent of duration of the lunar phase as long as this was longer than 7.5 d (half the spring/neap cycle). Furthermore, the distance traveled with the M2 constituent was only about 4 km. This short distance is believed to be due to the mismatch between the periods of the M2 constituent and the 24 h day, which prevents the favorable lunar phase from persisting over many days. As a result, vertical migration in response to light and darkness with only the M2 tide is an ineffective mechanism for long-distance postlarval displacement.

The S2 tidal constituent has a period which is exactly one-half of 24 h, and the period of the K1 tidal constituent very closely approximates 24 h. As a result the constituents maintain approximately the same phase with the local earth day over long periods (1 mo) and could produce substantially larger displacements even though they have much smaller amplitudes than the dominant M2. Results of simulations with these constituents (Model 3) indicated that the period of maximum eastward distance traveled during a 30 d period with the semidiurnal S2 and diurnal K1 constituents was summer for each of the 3 yr of data (Fig. 5). The eastward distance traveled with the semidiurnal S2 was about 25 km and with the diurnal K1 about 40 km. The maximum eastward distance traveled when all constituents were summed together was about 70 km, which means that other tidal constituents besides S2 and K1 contributed only 5 km to total displacement.

This transport is a result of a phase match, or covariance, between current and diel behavior of the shrimp larvae. When these 2 factors have different periods, the transport within a certain time interval is controlled by their relative phases, which are constantly chang-
ing. Consequently, the covariance oscillates around zero, limiting maximum transport distance. At certain times, the 2 factors can work together and achieve positive transport, but eventually (after a time equal to \( t_1 \times t_2/2(t_1 - t_2) \), where \( t_1 \) and \( t_2 \) are the respective periods of the 2 factors viz. current and shrimp larval behavior) the relative phases will change, so that the transport becomes negative, limiting transport in one direction.

**DISCUSSION**

Results of simulations indicated that pink shrimp larvae migrating vertically on a day–night cycle and traveling with the semidiurnal \( M_2 \) tidal constituent alone traversed only about 4 km. This short displacement confirmed Hill’s (1991a, b) hypothesis of the effect of mismatched periodicity on net coastward transport of animals displaying diel vertical migration behavior. Diel vertical migration solely with an \( M_2 \) tidal current is thus ineffective in generating net transport. In contrast, transport with the semidiurnal \( S_2 \) (which has a period of exactly 12 h) and with the diurnal \( K_1 \) (with a period of 23.93 h) allows phase-locking to persist over many days. When the eastward current (flood) of these constituents matches the diel cycle over extended intervals, which can occur only during summer, the period of shorter nights, larvae can reach distances of up to 70 km in 30 d. If planktonic stages of pink shrimp are able to recognize and use the eastward current (flood), they may travel between 150 and 200 km in 30 d (Criales et al. in press). In these simulations the period of maximum distance traveled occurred every year in summer. Because of the shorter nights in summer, postlarvae may be transported farther eastward because they can be transported coastward for the entire dark-flood period. During winter, the dark period is longer and covers some hours of ebb (reverse current). A long interval during which the eastward current occurred at night was recorded in summer of each year; this correlates with the large peak of postlarvae entering Florida Bay every summer. Our simulation results suggest that diel vertical migration can interact with the \( S_2 \) and \( K_1 \) and the annual cycle of night length to induce effective net coastward transport of pink shrimp larvae during summer on the SW Florida shelf.

The present study has clearly demonstrated a distinct annual tidal cycle that supports the seasonal postlarval pattern of pink shrimp in NW Florida Bay. An annual tidal cycle has previously been reported to support the seasonal postlarval recruitment of the penaeid shrimp *Melicertus latisulcatus* in Western Australia (Penn 1975). The largest peak of postlarvae migrating into the nursery ground of Shark Bay occurs in summer during periods of a net tidal flow at night (Penn 1975). The white shrimp *Litopenaeus setiferus* in the northern Gulf of Mexico also has its primary spawning season and postlarval migration into the estuaries in summer (June to August) (Lindner & Anderson 1956, Lindner & Cook 1970). This seasonality agrees with the summer net tidal import reported for the northern Gulf of Mexico by Smith & Stoner (1993). In contrast, for other commercial important penaeid shrimps such as the brown shrimp *Farfantepenaeus aztecus* in the northern Gulf of Mexico and the grooved tiger prawn *Penaeus semisulcatus* in the Gulf of Carpentaria, Australia, the 2 main spring and fall spawning seasons do not agree with the annual tidal cycles reported for their respective regions (Barton et al. 1993, Rothlisberg et al. 1995). Coastal penaeid shrimps and other fish and invertebrate species that use tidal currents for transport with daily vertical migration may take advantage of the annual tidal cycles to improve their chances of reaching coastal nursery habitats. However, it is clear that the amplitude of the seasonal periodicities differs among locations (Power 1997).

The maximum distance traveled by larvae with the tidal currents during day–night cycles was about 70 km in 30 d, about half the distance that larvae need to travel to reach the nursery grounds. Obviously, this mechanism cannot account for the entire journey of pink shrimp planktonic stages. Densities of pink shrimp postlarvae over a 3 yr period correlated with alongshore but not with cross-shelf winds (Criales et al. in press), and larvae need to travel about 150 km eastward across the shelf to reach the nursery grounds of Florida Bay. Seasonal winds and residual currents may not explain the eastward transport mechanism of larvae across the shelf, but may cause the interannual variability observed in the recruitment data. An alternative mechanism suggested in previous research is that penaeid postlarvae are able to recognize and act upon changes in the direction of the current by an endogenous circatidal activity rhythm (Hughes 1969b, 1972, Matthews et al. 1991). Simulation of this particular STST behavior indicated that larvae consistently travel between 100 and 200 km in 30 d, and hypothetically 85% of larvae reached the nursery grounds (Criales et al. in press). However, early life stages (nauplii, zoeae and mysies) may not undertake a STST (Rothlisberg et al. 1983, 1995) and may first depend upon simple diel migration for transport. The results of the present study indicate that simple diel migration, in interaction with \( S_2 \), \( K_1 \), and \( M_2 \), can transport early-stage shrimp up to 70 km in the direction of the nursery grounds. Results derived from several studies on pink shrimp in south Florida indicated that early planktonic stages migrate vertically, increasing their mobility gradually with increasing age. Zoeal and mysies stages
were found near the bottom, while postlarvae were found throughout the water column. During the day most shrimp larvae were on the bottom, at night occupancy percentages increased at the surface and in midwater, although a substantial percentage were still on the bottom (Jones et al. 1970). However a significant difference in depth of water column occupation between day and night has not been found for early planktonic stages of pink shrimp (Eldred et al. 1965, Temple & Fisher 1965, Jones et al. 1970, Criales & Lee 1995). The behavior of early stages near the spawning grounds needs to be explored in the context of the questions raised by the simulations. This may lead to refinement of the scenarios. In addition, scenarios need to be coupled to a complete hydrodynamic model with winds and spatial and vertical variations in currents for a better understanding of the interaction between advection, vertical migration, and environmental cues.

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